## 07. Quantum Information Theory (QIT), Part I.

## 1. C-bits vs. Qubits

- Classical Information Theory

C-bit $=$ a state of a classical 2-state system.

- Represented by either 0 or 1 .

- Quantum Information Theory

Qubit $=$ a state of a quantum 2-state system.

- Represented by either $|0\rangle,|1\rangle$, or $a|0\rangle+b|1\rangle$.



## General form of a qubit

$|Q\rangle=a|0\rangle+b|1\rangle$, where $|a|^{2}+|b|^{2}=1$

According to the Eigenvalue-eigenvector Rule

- $|Q\rangle$ has no determinate value (of Hardness, say).
- It's value only becomes determinate ( 0 or 1 ; hard or $s o f t$ ) when we measure it.
- All we can say about $|Q\rangle$ is:
(a) $\operatorname{Pr}($ value of $|Q\rangle$ is 0$)=|a|^{2}$.
(b) $\operatorname{Pr}($ value of $|Q\rangle$ is 1$)=|b|^{2}$.

Common Claim: A qubit $|Q\rangle=a|0\rangle+b|1\rangle$ encodes an arbitrarily large amount of information, but at most only one classical bit's worth of information in a qubit is accessible.

- $a$ and $b$ encode an arbitrarily large amount of information.
- But the outcome of a measurement performed on $|Q\rangle$ is its collapse to either $|0\rangle$ or $|1\rangle$, which each encode just one classical bit.


## 2. Transformations on Single Qubits

- Let $|0\rangle$ and $|1\rangle$ be given the matrix representations: $\quad|0\rangle=\binom{1}{0} \quad|1\rangle=\binom{0}{1}$
- Define the following operators that act on $|0\rangle$ and $|1\rangle$ :

$$
\begin{array}{cccc}
I=\left(\begin{array}{ll}
1 & 0 \\
0 & 1
\end{array}\right) & X=\left(\begin{array}{ll}
0 & 1 \\
1 & 0
\end{array}\right) & Y=\left(\begin{array}{cc}
0 & 1 \\
-1 & 0
\end{array}\right) & Z=\left(\begin{array}{cc}
1 & 0 \\
0 & -1
\end{array}\right) \\
\text { Identity } & \text { Negation } & \text { Negation/Phase-change } & \text { Phase-change } \\
I|0\rangle=|0\rangle & X|0\rangle=|1\rangle & Y|0\rangle=-|1\rangle & Z|0\rangle=|0\rangle \\
I|1\rangle=|1\rangle & X|1\rangle=|0\rangle & Y|1\rangle=|0\rangle & Z|1\rangle=-|1\rangle \\
\mathfrak{H}=\left(\begin{array}{cc}
\sqrt{1 / 2} & \sqrt{1 / 2} \\
\sqrt{1 / 2} & -\sqrt{1 / 2}
\end{array}\right) &
\end{array}
$$

Hadamard operator

$$
\begin{aligned}
& \mathfrak{H}|0\rangle=\sqrt{1 / 2}(|0\rangle+|1\rangle) \\
& \mathfrak{H}|1\rangle=\sqrt{1 / 2}(|0\rangle-|1\rangle)
\end{aligned}
$$

## 3. Transformations on Multiple Qubits

- Let $\left\{|0\rangle_{1},|1\rangle_{1}\right\},\left\{|0\rangle_{2},|1\rangle_{2}\right\}$ be bases for the single qubit state spaces $\mathcal{H}^{(1)}, \mathcal{H}^{(2)}$.
- Then: A basis for the 2 -qubit state space $\mathcal{H}^{(1)} \otimes \mathcal{H}^{(2)}$ is given by

$$
\left\{|0\rangle_{1}|0\rangle_{2},|0\rangle_{1}|1\rangle_{2},|1\rangle_{1}|0\rangle_{2},|1\rangle_{1}|1\rangle_{2}\right\}
$$

- Aside: Another basis for $\mathcal{H}^{(1)} \otimes \mathcal{H}^{(2)}$ is given by

$$
\left\{\left|\Psi^{+}\right\rangle,\left|\Psi^{-}\right\rangle,\left|\Phi^{+}\right\rangle,\left|\Phi^{-}\right\rangle\right\},
$$

where:

$$
\begin{aligned}
& \left|\Psi^{+}\right\rangle=\sqrt{1 / 2}\left(|0\rangle_{1}|0\rangle_{2}+|1\rangle_{1}|1\rangle_{2}\right) \\
& \left|\Psi^{-}\right\rangle=\sqrt{1 / 2}\left(|0\rangle_{1}|0\rangle_{2}-|1\rangle_{1}|1\rangle_{2}\right) \\
& \left|\Phi^{+}\right\rangle=\sqrt{1 / 2}\left(|1\rangle_{1}|0\rangle_{2}+|0\rangle_{1}|1\rangle_{2}\right) \\
& \left|\Phi^{-}\right\rangle=\sqrt{1 / 2}\left(-|1\rangle_{1}|0\rangle_{2}+|0\rangle_{1}|1\rangle_{2}\right)
\end{aligned} \quad \begin{aligned}
& \text { The "Bell basis" for } \mathcal{H}^{(1)} \otimes \mathcal{H}^{(2)} \text {. } \text { Easis vector is an entangled state! } \\
& \text { Eachen }
\end{aligned}
$$

- Let $|0\rangle_{1}|0\rangle_{2},|0\rangle_{1}|1\rangle_{2},|1\rangle_{1}|0\rangle_{2},|1\rangle_{1}|1\rangle_{2}$ be given the matrix representations:

$$
|0\rangle_{1}|0\rangle_{2}=\left(\begin{array}{l}
1 \\
0 \\
0 \\
0
\end{array}\right) \quad|0\rangle_{1}|1\rangle_{2}=\left(\begin{array}{l}
0 \\
1 \\
0 \\
0
\end{array}\right) \quad|1\rangle_{1}|0\rangle_{2}=\left(\begin{array}{l}
0 \\
0 \\
1 \\
0
\end{array}\right) \quad|1\rangle_{1}|1\rangle_{2}=\left(\begin{array}{l}
0 \\
0 \\
0 \\
1
\end{array}\right)
$$

- Define the 2-qubit "Controlled-NOT" operator by:

$$
C_{\text {NOT }}=\left(\begin{array}{llll}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 0 & 1 \\
0 & 0 & 1 & 0
\end{array}\right) \quad \begin{aligned}
& C_{N O T}|0\rangle_{1}|0\rangle_{2}=|0\rangle_{1}|0\rangle_{2} \\
& C_{N O T}|0\rangle_{1}|1\rangle_{2}=|0\rangle_{1}|1\rangle_{2} \\
& C_{N O T}|1\rangle_{1}|0\rangle_{2}=|1\rangle_{1}|1\rangle_{2} \\
& C_{N O T}|1\rangle_{1}|1\rangle_{2}=|1\rangle_{1}|0\rangle_{2}
\end{aligned}
$$

Acts on two qubits:

- Changes the second if the first is $|1\rangle$.
- Leaves the second unchanged otherwise.


## 4. The No-Cloning Theorem

Claim: Unknown qubits cannot be "cloned".

- In particular, there is no (unitary, linear) operator $U$ such that $U|v\rangle_{1}|0\rangle_{2}=|v\rangle_{1}|v\rangle_{2}$, where $|v\rangle_{1}$ is an unknown qubit.

Proof: Suppose there is such a $U$.
Then: $U|a\rangle_{1}|0\rangle_{2}=|a\rangle_{1}|a\rangle_{2}$ and $U|b\rangle_{1}|0\rangle_{2}=|b\rangle_{1}|b\rangle_{2}$, for unknown qubits $|a\rangle_{1},|b\rangle_{1}$.

- Let: $|c\rangle_{1}=\alpha|a\rangle_{1}+\beta|b\rangle_{1}$, where $|\alpha|^{2}+|\beta|^{2}=1$

Then: $U|c\rangle_{1}|0\rangle_{2}=U\left(\alpha|a\rangle_{1}|0\rangle_{2}+\beta|b\rangle_{1}|0\rangle_{2}\right)$

$$
\begin{aligned}
& =\left(\alpha U|a\rangle_{1}|0\rangle_{2}+\beta U|b\rangle_{1}|0\rangle_{2}\right), \quad \text { since } U \text { is linear } \\
& =\alpha|a\rangle_{1}|a\rangle_{2}+\beta|b\rangle_{1}|b\rangle_{2}
\end{aligned}
$$

But: By definition, $U$ acts on $|c\rangle_{1}$ according to:

$$
\begin{aligned}
U|c\rangle_{1}|0\rangle_{2} & =|c\rangle_{1}|c\rangle_{2} \\
& =\left(\alpha|a\rangle_{1}+\beta|b\rangle_{1}\right)\left(\alpha|a\rangle_{2}+\beta|b\rangle_{2}\right) \\
& =\alpha^{2}|a\rangle_{1}|a\rangle_{2}+\alpha \beta|a\rangle_{1}|b\rangle_{2}+\beta \alpha|b\rangle_{1}|a\rangle_{2}+\beta^{2}|b\rangle_{1}|b\rangle_{2} .
\end{aligned}
$$

So: There can be no such $U$.

- Note: Known qubits (like $|1\rangle_{1}$ ) can be cloned (ex: $\left.C_{N O T}|1\rangle_{1}|0\rangle_{2}=|1\rangle_{1}|1\rangle_{2}\right)$.


## 5. Quantum Cryptography

Cryptography Basics

- Plaintext = message to be encoded. (Private)
- Cryptotext $=$ encoded message. (Public)
- Encoding/decoding procedure = procedure used to encode plaintext and decode cryptotext. (Public)
- Key $=$ device required to implement encoding/decoding procedure. (Private)


## Example: One-time pad (Vernam 1917)

| $\boldsymbol{A}$ | $\boldsymbol{B}$ | $\boldsymbol{C}$ | $\boldsymbol{D}$ | $\boldsymbol{E}$ | $\cdots$ | $\boldsymbol{X}$ | $\boldsymbol{Y}$ | $\boldsymbol{Z}$ | $\boldsymbol{?}$ | , | $\boldsymbol{c}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 00 | 01 | 02 | 03 | 04 | $\cdots$ | 23 | 24 | 25 | 26 | 27 | 28 | 29 |

alphanumeric convention

Plaintext (private)

| $\boldsymbol{S}$ | $\boldsymbol{H}$ | $\boldsymbol{A}$ | $\boldsymbol{K}$ | $\boldsymbol{E}$ | $\boldsymbol{N}$ |  | $\boldsymbol{N}$ | $\boldsymbol{O}$ | $\boldsymbol{T}$ |  | $\boldsymbol{S}$ | $\boldsymbol{T}$ | $\boldsymbol{I}$ | $\boldsymbol{R}$ | $\boldsymbol{R}$ | $\boldsymbol{E}$ | $\boldsymbol{D}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 18 | 07 | 00 | 10 | 04 | 13 | 29 | 13 | 14 | 19 | 29 | 18 | 19 | 08 | 17 | 17 | 04 | 03 |

Key (private)
$\begin{array}{llllllllllllllllll}15 & 04 & 28 & 13 & 14 & 06 & 21 & 11 & 23 & 18 & 09 & 11 & 14 & 01 & 19 & 05 & 22 & 07\end{array}$

Encoding/decoding procedure (public)
Add plaintext to key and take remainder after division by 30.

Cryptotext (public)
$\begin{array}{llllllllllllllllll}03 & 11 & 28 & 23 & 18 & 19 & 20 & 24 & 07 & 07 & 08 & 29 & 03 & 09 & 06 & 22 & 26 & 10\end{array}$

- Technical Result (Shannon 1949): One-time pad is guaranteed secure, as long as the key is completely random, has same length as plaintext, is never reused, and is not intercepted by a third party.


## Quantum Key Distribution via Non-orthogonal States

- Goal: To transmit a private key on possibly insecure channels.
- Set-up: Alice and Bob communicate through 2 public (insecure) channels:
(i) A 2-way classical channel through which they exchange classical bits.
(ii) A 1-way quantum channel through which Alice sends Bob qubits.



Protocol:

1. (a) Alice encodes a random sequence of bits as the Color or Hardness states of electrons: For each electron, she randomly picks a Color or Hardness box to put it through, and then selects the bit according to a public encryption chart.
(b) Alice then generates a private list of the value of each electron and the correponding bit, and a public list of just the property of each electron.
(c) Alice then sends her electrons to Bob via the quantum channel.

| Public encryption chart |  |
| :---: | :---: |
| Hardness | Color |
| $\mid$ hard $\rangle \Leftrightarrow 0$ | $\mid$ black $\rangle \Leftrightarrow 0$ |
| $\mid$ soft $\rangle \Leftrightarrow 1$ | white $\rangle \Leftrightarrow 1$ |


| Alice's private list |
| :--- |
| electron 1: hard, 0 |
| electron 2: black, 0 |
| etc... |

Alice's public list
electron 1: definite $H$-value electron 2: definite $C$-value etc...


Protocol:
2. (a) Upon reception of an electron, Bob randomly picks a Color box or a Hardness box to send it through.
(b) Bob then generates a private list of the value of each electron received; and a public list of the property of each electron received.

| Bob's private list |
| :--- |
| electron 1: white |
| electron 2: black |
| etc... |


| Bob's public list |
| :--- |
| electron 1: definite $C$-value |
| electron 2: definite $C$-value |
| etc... |



## Protocol:

3. After all electrons have been transmitted, Alice and Bob use the classical channel to exchange the Encryption chart and their public records.
4. (a) Alice and Bob use their public records to identify those electrons that did not get their properties disrupted by Bob.
(b) They then use the Encrpytion chart, and their private charts, to identify the bits associated with these electrons. These bits are used to construct a key.


| Public encryption chart |  |  |
| :--- | :--- | :---: |
| Hardness | $\underline{\text { Color }}$ |  |
| $\mid$ hard $\rangle \Leftrightarrow 0$ | $\mid$ black $\rangle \Leftrightarrow 0$ |  |
| $\mid$ sof $\rangle\rangle \Leftrightarrow 1$ | $\mid$ white $\rangle \Leftrightarrow 1$ |  |

Alice's private list
electron 1: hard, 0
electron 2: black, 0
etc...

| Bob's private list |
| :--- |
| electron 1: white |
| electron 2: black |
| etc... |

Claim: Any attempt by Eve to intercept the key will be detectable.

## Case 1: No Eve



- Suppose: Electron 1 sent by Alice is black.
- What's the probability that Bob measures it as black?
- The probability that Bob measures its Color is $1 / 2$; and when a black electron is measured for Color, it will register as black (of course).
- So: Without Eve present, $\operatorname{Pr}\left(\right.$ Bob gets electron $_{1}$ right $)=1 / 2$.

```
Ex:}\operatorname{Pr}(\mp@subsup{\mathrm{ hard}}{1}{})=\operatorname{Pr}(\mp@subsup{\mathrm{ black }}{1}{}\mathrm{ measured for Hardness })\times\operatorname{Pr}(\mp@subsup{\mathrm{ black }}{1}{}\mathrm{ is hard / black }\mp@subsup{1}{1}{}\mathrm{ measured for Hardness }
    =1/2 }\times1/2=1/
```

Claim: Any attempt by Eve to intercept the key will be detectable.

## Case 2: Eve Present



- With Eve, $\operatorname{Pr}\left(\right.$ Bob gets electron $_{1}$ right $)=1 / 16+1 / 16+1 / 4=3 / 8$

Claim: With Eve, Bob gets wrong $1 / 4$ of the electrons he got right without Eve.

> Check: Suppose Alice sends $n$ electrons.
> - Without Eve, Bob gets $n / 2$ right, and $n / 2$ wrong.
> - With Eve, Bob gets $3 n / 8$ right, and $5 n / 8$ wrong.
> - So: With Eve, Bob gets $(n / 2-3 n / 8)=n / 8$ more electrons wrong than without Eve.
> - And: $n / 8=1 / 4 \times n / 2$.

To detect Eve:

- Alice and Bob randomly choose half of the electrons Bob got right and now compare their values of Color/Hardness (recorded in their private lists).
- If these values all agree, then the probability that Eve is present is extremely low. They can now use the other electrons Bob got right as the key.
- If these values do not all agree, then Eve is present and is disrupting the flow.

