

**Turing Machines**

**A Turing Machine (*tm*) consists of:**

1. *An unbounded tape.* Divided into squares, each square containing a symbol from a finite alphabet with at least 2 symbols:  $\{S_0, S_1, S_2, \dots, S_n\}$ ,  $n \geq 1$ .  $S_0$  represents "blank".
2. *A read/write scanner.* Programmed with a finite list of internal ("memory") states  $\{q_0, q_1, \dots, q_m\}$ ,  $m \geq 0$ .
3. *A program.* Consists of a finite sequence of steps  $\{A_0, A_1, \dots, A_k\}$ ,  $k \geq 0$ . Each step  $A_i$  consists of a 4-tuple (*initial state, initial symbol, action, final state*). For initial state and initial symbol  $(q_i, S_j)$ , there are 3 possible actions, afterwhch the *tm* enters final state  $q_l$ :
  - (i) Replace initial symbol with  $S_k$ . Complete step given by  $(q_i, S_j, S_k, q_l)$ .
  - (ii) Move one square left. Complete step given by  $(q_i, S_j, L, q_l)$ .
  - (iii) Move one square right. Complete step given by  $(q_i, S_j, R, q_l)$ .

Comments: For any initial pair  $(q_i, S_j)$ , there must be at most one  $A_i$  containing it. Computation halts when current initial pair doesn't occur in any  $A_i$ .

Comments: A *tm* takes in an input tape, and either halts with a modified output tape, or continues indefinitely (doesn't halt).

**Props. 7.20, 7.21.** The set of Turing machines may be effectively enumerated  $T_0, T_1, \dots$  in such a way that each suffix determines effectively and completely the instructions for the corresponding machine.

Proof Outline: Any *tm* is fully specified by a string of symbols from  $S_0, \dots, S_n, q_0, \dots, q_m, A_1, \dots, A_k$ . Just as with first order systems, a Gödel-numbering system can be constructed to encode such strings uniquely as natural numbers. One way:

<u>Symbol</u>	<u>G-number</u>
$R$	3
$L$	5
$S_i$	$7 + 4i$
$q_i$	$9 + 4i$

G-numbers for strings:

For the string  $u_1 \dots u_j$  that represents the *tm*  $T$ , let

$$g(T) = p_0^{g(u_1)} \times \dots \times p_{j-1}^{g(u_j)}$$

where  $p_0, \dots, p_{j-1}$  are the first  $j$  primes 2, 3, 5, ...

Consequence: Every *tm* corresponds to an  $n \in \mathbb{N}$ . And any  $n \in \mathbb{N}$  can be decoded uniquely (*via* prime factorization) to see if it's the  $G$ -number of a *tm*, and if so, which one.

**Def. 7.23.** A partial function on  $\mathbb{N}$  is **Turing computable** if there's a *tm* that computes its value.

*Comment:* This definition depends on the conventions dictating how input/output tapes are to represent the domain and range of functions. One way to do this is the following:

*Note:* A *tm* symbol set must contain at least two symbols  $S_0, S_1$ .

*So:* Can represent any  $n_1, \dots, n_k \in \mathbb{N}^k$  by the input tape  $S_1^{n_1} S_0 S_1^{n_2} S_0 \dots S_0 S_1^{n_k}$ , where  $S_1^m$  abbreviates  $m$   $S_1$ 's. Call this tape  $\sigma[(n_1, \dots, n_k)]$ .

*Then:* Those *tms* that represent functions are those that take such  $\sigma$ 's as input and output tapes of the form  $\sigma[m]$  (i.e., just a single  $m \in \mathbb{N}$ ).

*Thus:* A *tm*  $T$  determines a function  $f_T^k : A^k \rightarrow \mathbb{N}$ ,  $A^k \subseteq \mathbb{N}^k$ , by:  
 If  $T(\sigma[(n_1, \dots, n_k)]) = \sigma[m]$ ,  $k > 0$ , then  $f_T^k(n_1, \dots, n_k) = m$ .

*Comments:* Technically, a *tm*  $T$  determines a map  $T : \{\text{input tapes for which } T \text{ halts}\} \rightarrow \{\text{all possible (appropriately formatted) input tapes}\}$ . So the function  $f_T^k$  it determines is a *partial* function, defined only on a subset  $A^k$  of  $\mathbb{N}^k$  (namely, that subset that corresponds to the input tapes for which  $T$  halts).

**Prop. 7.29.** The Halting Problem for Turing machines is unsolvable; i.e., there is no algorithm which provides answers to questions from the set  $\{\text{does machine } T_m \text{ halt with input } n? \mid m, n \in \mathbb{N}\}$ .

*Proof:* We need to show that the set  $A = \{m, n \in \mathbb{N} : T_m \text{ halts on input } n\}$  is not recursive.

Suppose  $A$  is recursive.

*Then:* It's characteristic function  $c_A$  is recursive, where

$$c_A(m, n) = \begin{cases} 0 & \text{if } (m, n) \in A \\ 1 & \text{if } (m, n) \notin A \end{cases}$$

*Thus:* By Prop. 7.25,  $c_A$  is Turing computable. So there's a *tm* that computes it.

*So:* We can use  $c_A$ 's *tm* to construct a *tm*  $H$  such that its output  $H(n)$  on input  $n$  is given by

$$H(n) = \begin{cases} T_n(n) + 1 & \text{if } (n, n) \in A \\ 0 & \text{if } (n, n) \notin A \end{cases}$$

*Note1:*  $H$  corresponds to  $T_m$  for some  $m \in \mathbb{N}$ . (The Enumeration Theorem.)

*Note2:*  $H$  halts on every input. (For any input  $n$ , if  $(n, n) \in A$ , then, since  $T_n$  halts, so does  $H$ . If  $(n, n) \notin A$ , then  $H$  halts with output 0.)

*So:* For input  $m$ , we have:

$$\begin{aligned} H(m) &= T_m(m) \\ &= T_m(m) + 1 \quad (\text{Definition of } H) \end{aligned}$$

This is a contradiction, so  $A$  cannot be recursive.

**Prop. 7.30.** The set  $K = \{n \in \mathbb{N} : T_n \text{ halts with input } n\}$  is recursively enumerable but not recursive.

*Proof:* Note that we can construct the *tm*  $H$  in the proof of Prop. 7.29 directly from the characteristic function  $c_K$  of  $K$ . This shows that  $K$  cannot be recursive. To show  $K$  is recursively enumerable, we appeal to Church's Thesis and the following algorithm:

1. Compute first step of  $T_0$  with input 0.
  2. Compute first step of  $T_1$  with input 1, and second step of  $T_0$  with input 0.
  3. Compute first step of  $T_2$  with input 2, second step of  $T_1$  with input 1, and third step of  $T_0$  with input 0.
  4. Etc...
- If and when  $T_i$  halts, put  $i$  in the enumeration of  $K$ .

*Comment:* The set  $A$  in Prop. 7.29 is not recursively enumerable.