

**Important topics in Chapter 6 (Gödel's Incompleteness Theorem)**

$\mathcal{N}$  is the *first order system* of arithmetic in the language  $\mathcal{L}_{\mathcal{N}}$ .

$N$  is the (intended) *interpretation* of  $\mathcal{L}_{\mathcal{N}}$  in which  $D_N = \mathbb{N}$ .

**Notation:**

$0^{(n)}$  is an abbreviation for the *closed term* in  $\mathcal{L}_{\mathcal{N}}$  that is interpreted in  $N$  by the natural number  $n$ .

In particular,  $0^{(n)}$  abbreviates the closed term  $f_1^1(\dots f_1^1(a_1)\dots)$  in which  $f_1^1$  occurs  $n$  times.

*Comment:* In  $N$ ,  $a_1$  is interpreted as 0, and  $f_1^1$  (successor function) is abbreviated by  $'$ . So  $0^{(n)}$  is an abbreviation for the term in  $\mathcal{L}_{\mathcal{N}}$  corresponding to the number  $0'''\dots'$  ( $n$  primes) in  $\mathbb{N}$ ; *i.e.*, the  $n$ th successor of 0, which is the number  $n$ .

**Def. 6.3.** A  $k$ -place **relation**  $R$  defined on  $\mathbb{N}$  is **expressible in  $\mathcal{N}$**  if there is a wf  $\mathcal{A}(x_1, \dots, x_k)$  of  $\mathcal{L}_{\mathcal{N}}$  with  $k$  free variables such that for any  $n_1, \dots, n_k \in \mathbb{N}$ ,

- (i) If  $R(n_1, \dots, n_k)$  holds in  $\mathbb{N}$ , then  $\vdash_{\mathcal{N}} \mathcal{A}(0^{(n_1)} \dots 0^{(n_k)})$
- (ii) If  $R(n_1, \dots, n_k)$  doesn't hold in  $\mathbb{N}$ , then  $\vdash_{\mathcal{N}} \sim \mathcal{A}(0^{(n_1)} \dots 0^{(n_k)})$

**Def. 6.5.** A  $k$ -place **function**  $f$  defined on  $\mathbb{N}$  is **expressible in  $\mathcal{N}$**  if there is a wf  $\mathcal{A}(x_1, \dots, x_{k+1})$  of  $\mathcal{L}_{\mathcal{N}}$  with  $k+1$  free variables such that for any  $n_1, \dots, n_{k+1} \in \mathbb{N}$ ,

- (i) If  $f(n_1, \dots, n_k) = n_{k+1}$ , then  $\vdash_{\mathcal{N}} \mathcal{A}(0^{(n_1)} \dots 0^{(n_k)})$
- (ii) If  $f(n_1, \dots, n_k) = n_{k+1}$ , then  $\vdash_{\mathcal{N}} \sim \mathcal{A}(0^{(n_1)} \dots 0^{(n_k)})$
- (iii)  $(\exists x_{k+1}) \mathcal{A}(0^{(n_1)} \dots 0^{(n_k)}, x_{k+1})$

**Props. 6.10, 6.11.** Not all relations and functions defined on  $\mathbb{N}$  are expressible in  $\mathcal{N}$ .

**Prop. 6.12.** A relation (or function) defined on  $\mathbb{N}$  is expressible in  $\mathcal{N}$  *iff* it is recursive.

**Recursive functions:****I. Basic recursive functions**

1. The *zero function*.  $z : \mathbb{N} \rightarrow \mathbb{N}$ ,  $z(n) = 0$ .
2. The *successor function*.  $s : \mathbb{N} \rightarrow \mathbb{N}$ ,  $s(n) = n + 1$ .
3. *Projection functions*.  $p_i^k : \mathbb{N}^k \rightarrow \mathbb{N}$ ,  $p_i^k(n_1, \dots, n_k) = n_i$ , for all  $n_1, \dots, n_k \in \mathbb{N}$ ,  $k \geq 1$ ,  $i = 1 \dots k$ .

**II. Rules of Formation**

1. **Composition:** If  $g : \mathbb{N}^j \rightarrow \mathbb{N}$  and  $h_i : \mathbb{N}^k \rightarrow \mathbb{N}$ ,  $1 \leq i \leq j$ , are recursive functions, so is  $f : \mathbb{N}^k \rightarrow \mathbb{N}$ , given by

$$f(n_1, \dots, n_k) = g(h_1(n_1, \dots, n_k), \dots, h_j(n_1, \dots, n_k))$$

2. **Recursion:** If  $g : \mathbb{N}^k \rightarrow \mathbb{N}$  and  $h : \mathbb{N}^{k+2} \rightarrow \mathbb{N}$  are recursive functions, so is  $f : \mathbb{N}^{k+1} \rightarrow \mathbb{N}$ , given by

$$f(n_1, \dots, n_k, 0) = g(n_1, \dots, n_k)$$

$$f(n_1, \dots, n_k, n+1) = h(n_1, \dots, n_k, n, f(n_1, \dots, n_k, n))$$

*Comment:* For  $k = 0$ , the function  $g : \mathbb{N}^0 \rightarrow \mathbb{N}$  is simply an element of  $\mathbb{N}$ .

3. **Least Number Operator  $\mu$ :** If  $g : \mathbb{N}^{k+1} \rightarrow \mathbb{N}$  is a recursive function and has the property that for all  $n_1, \dots, n_k \in \mathbb{N}$ , there is an  $n \in \mathbb{N}$  such that  $g(n_1, \dots, n_k, n) = 0$ , then  $f : \mathbb{N}^k \rightarrow \mathbb{N}$  is recursive, where

$$f(n_1, \dots, n_k) = \min\{n \in \mathbb{N} \mid g(n_1, \dots, n_k, n) = 0\}$$

**Def. 6.15.** A **function on  $\mathbb{N}$  is recursive** if it can be obtained from the basic recursive functions by a finite number of applications of the rules of formation.

**Def. 6.17.** Let  $R$  be a  $k$ -place relation on  $\mathbb{N}$ . The **characteristic function** of  $R$ , denoted by  $c_R$ , is defined by

$$c_R(n_1, \dots, n_k) = \begin{cases} 0 & \text{if } R(n_1, \dots, n_k) \text{ holds} \\ 1 & \text{if } R(n_1, \dots, n_k) \text{ doesn't hold} \end{cases}$$

**Def. 6.18.** A relation on  $\mathbb{N}$  is **recursive** if its characteristic function is recursive.

**Def.** A set of natural numbers is **recursive** if its characteristic function is recursive. (The characteristic function of a set  $A$  is the characteristic function of the membership relation  $\in$  for  $A$ .)

**Prop. 6.29.** The 2-place relation  $W$  on  $\mathbb{N}$  is recursive, where  $W(m, n)$  holds *iff*  $m$  is the Gödel number of a wf  $\mathcal{A}(x_1)$  in which  $x_1$  occurs free, and  $n$  is the Gödel number of a proof in  $\mathcal{N}$  of  $\mathcal{A}(0^{(m)})$ .

*Consequence:* There is a wf  $\mathcal{W}(x_1, x_2)$ , with  $x_1, x_2$  free, that expresses  $W(m, n)$  in  $\mathcal{N}$ .

**Def.** The **Gödel sentence**  $\mathcal{U}$  for  $\mathcal{N}$  is the wf  $(\forall x_2) \sim \mathcal{W}(0^{(p)}, x_2)$ , where  $p$  is the Gödel number of the wf  $(\forall x_2) \sim \mathcal{W}(x_1, x_2)$ , in which  $x_1$  occurs free.

*Comments:*  $\mathcal{U}$  is interpreted in  $\mathcal{N}$  by the following:

"For every  $n \in \mathbb{N}$ ,  $W(p, n)$  does not hold."

or

"For every  $n \in \mathbb{N}$ , it's not the case that ( $p$  is the Gödel number of a wf  $\mathcal{A}(x_1)$ , with  $x_1$  free, and  $n$  is the Gödel number of a proof in  $\mathcal{N}$  of  $\mathcal{A}(0^{(p)})$ )."

or

"For every  $n \in \mathbb{N}$ ,  $n$  is not the Gödel number of a proof in  $\mathcal{N}$  of  $\mathcal{U}$ ."

where  $\mathcal{A}(x_1)$  takes the form  $(\forall x_2) \sim \mathcal{W}(x_1, x_2)$ , and  $\mathcal{A}(0^{(p)})$  hence taking the form of  $\mathcal{U}$ .

**Def. 6.30.** A first order system  $S$  with  $\mathcal{L}_{\mathcal{N}}$  as its language is  **$\omega$ -consistent** if for any wf  $\mathcal{A}$ , with free variable  $x_1$ , for which  $\vdash_{\mathcal{N}} \mathcal{A}(0^{(n)})$ , for all  $n \in \mathbb{N}$ , then  $\not\vdash_{\mathcal{N}} (\exists x_1) \sim \mathcal{A}$ .

**Prop. 6.31.** Let  $S$  be a first order system with  $\mathcal{L}_{\mathcal{N}}$  as its language. If  $S$  is  $\omega$ -consistent, then  $S$  is consistent.

**Prop. 6.32. (Gödel's Incompleteness Theorem)**

If  $\mathcal{N}$  is  $\omega$ -consistent, then neither  $\vdash_{\mathcal{N}} \mathcal{U}$  nor  $\vdash_{\mathcal{N}} \sim \mathcal{U}$  (i.e., if  $\mathcal{N}$  is  $\omega$ -consistent, then  $\mathcal{N}$  is not complete).

**Prop. 6.36.** Let  $S$  be any extension of  $\mathcal{N}$  such that the set of Gödel numbers of proper axioms of  $S$  is recursive ( $S$  is "recursively axiomatizable"). If  $S$  is consistent, then  $S$  is not complete.

**Prop. (Gödel's Second Theorem)**

Let  $S$  be a consistent recursively axiomatizable extension of  $\mathcal{N}$ . There is no proof in  $S$  of the consistency of  $S$ .