# Gödel's 1st Incompleteness Theorem

<u>Gödel's 1st Incompleteness Theorem</u>.

Let N be a first-order formal theory of arithmetic that is recursively axiomatizable. If N is consistent, then it is negation incomplete.

### Questions:

- 1. What is a "first-order formal theory of arithmetic"?
- 2. What does it mean to say a first order formal theory of arithmetic is "consistent" and "negation incomplete"?
- 3. What does it mean to say a first-order formal theory of arithmetic is "recursively axiomatizable"?



Kurt Gödel

### 1. First-order Formal Theory

- A **formal theory** T consists of:
- (a) a formal language  $L_T$  (alphabet, grammar, semantics),
- (b) a set of axioms (a set of wffs of the langauge),
- (c) a *proof system* (a method that allows derivations of more complex *wffs* from the axioms).
- T is <u>first-order</u> if  $L_T$  only contains variables for individuals, and not variables for predicates (2nd-order), or variables for predicates of predicates (3rd-order), etc.
- A <u>formal theory of arithmetic</u> is a formal theory whose language can express all the claims made about natural numbers in simple arithmetic (addition, subtraction, multiplication, division).
- <u>Idea</u>: To formalize arithmetic, we want to demonstrate how all of its true claims ("theorems") can be derived from a set of basic truths (axioms).

### 2. Consistency and Negation Completeness

- A <u>theorem</u> of T is a wff of L<sub>T</sub> that is provable in T's proof system.
  <u>Notation</u>: T ⊢ φ means "φ is a theorem of T".
- A <u>logically valid</u> wff of T is a wff of  $L_T$  that is true in all interpretations.
  - <u>Notation</u>:  $T \vDash \varphi$  means " $\varphi$  is a logically valid wff of T".
- T is <u>sound</u> just when every theorem of T is logically valid: For any wff  $\varphi$  of  $L_T$ , if  $T \vdash \varphi$ , then  $T \models \varphi$ .
- *T* is <u>semantically complete</u> just when every logically valid wff of *T* is a theorem of *T*: For any wff  $\varphi$  of  $L_T$ , if  $T \vDash \varphi$ , then  $T \vdash \varphi$ .

### Two more syntactic notions:

- T is <u>consistent</u> just when, for any  $wff \varphi$  in  $L_T$ , it's not the case that both  $T \vdash \varphi^{\mathsf{v}}$ and  $T \vdash \neg \varphi$ .
- T is <u>negation complete</u> just when, for any wff  $\varphi$  in  $L_T$ , either  $T \vdash \varphi$  or  $T \vdash \neg \varphi$ .

#### <u>Motivations</u>:

*Consistency*: We don't want our theory of arithmetic to make contradictory claims.

- We don't want to be able to prove that 2 is both even and not even.

Negation Completeness: We want our theory of arithmetic to have something to say about any claim made about natural numbers.

- We want to be able to either prove or refute any such claim.

## <u>Example</u>:

- Let L consist of the alphabet P, Q, R, ∧, ∨, ¬, (, ) and the grammar and semantics of PL.
- Let the proof system be the **PL** tree rules.
- Consider two theories:
  - $T_1$ , with one axiom:  $\{\neg \mathsf{P}\}$ .
  - $T_2$ , with three axioms:  $\{\neg \mathsf{P}, \mathsf{Q}, \neg \mathsf{R}\}$ .

<u>Idea</u>: Wffs of L are only those wffs of **PL** that can be formed from **P**, **Q**, **R** using the **PL** connectives.

<u>*Idea*</u>: In  $T_1$ , all tree proofs begin with  $\neg \mathsf{P}$  at the top as given; in  $T_2$ , all tree proofs begin with  $\neg \mathsf{P}, \mathsf{Q}, \neg \mathsf{R}$  at the top as givens.

- Both  $T_1$  and  $T_2$  are sound and semantically complete (since **PL** is).
- Both  $T_1$  and  $T_2$  are consistent.

## Negation complete?

- $T_1$ : No! There are wffs  $\varphi$  of L such that neither  $\varphi$  nor  $\neg \varphi$  is a theorem of  $T_1$ .
  - <u>Ex</u>:  $(\mathbf{Q} \wedge \mathbf{R})$ . Trees for  $\neg \mathbf{P} \therefore (\mathbf{Q} \wedge \mathbf{R})$  and  $\neg \mathbf{P} \therefore \neg (\mathbf{Q} \wedge \mathbf{R})$  do not close.
  - <u>Which means</u>: The "given"  $\neg \mathsf{P}$  doesn't entail either  $(\mathsf{Q} \land \mathsf{R})$  or  $\neg(\mathsf{Q} \land \mathsf{R})$ .
- $T_2$ : Yes! For any  $wff \varphi$  of L, there is a closed tree for either  $\neg P, Q, \neg R \therefore \varphi$ , or  $\neg P, Q, \neg R \therefore \neg \varphi$ .
  - The "given"  $\neg P$ , Q,  $\neg R$  entail any wff formed from P, Q, R, via **PL** connectives.

<u>Moral</u>: Semantic completeness is distinct from negation completeness. -  $T_1$  is not negation complete, but uses a proof system (**PL** trees) that is semantically complete.

## <u>Example</u>:

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- Both  $T_1$  and  $T_2$  are sound and semantically complete (since **PL** is).
- Both  $T_1$  and  $T_2$  are *consistent*.
- $T_1$  is not negation complete,  $T_2$  is negation complete.

<u>Note</u>: We can "mechanically decide" what is a  $w\!f\!f$  in  $T_1$  and  $T_2$ , and hence what  $w\!f\!f\!s$  are axioms.

- There is a mechanical, step-by-step process in L of building complex wffs from atomic wffs, and atomic wffs from terms.

<u>And</u>: We can also "mechanically decide" what counts as a proof (a closed tree) in  $T_1$  and  $T_2$ , and hence, for any *wff*, whether it is a theorem of  $T_1$  or  $T_2$ .

<u>Question</u>: Can we make the notion of "mechanical decision procedure" more precise?

### 3. Recursively Axiomatizable Formal Theory

A formal theory T is <u>recursively axiomatizable</u> just when its axioms can be encoded as *recursive properties* of natural numbers.

- <u>Motivation</u>: Makes possible a mechanical decision procedure (algorithm) that can decide for any wff of  $L_T$ , whether it is an axiom of T.
- <u>Holy Grail</u>: To construct a mechanical decision procedure that would decide for any wff of  $L_T$ , whether it is a theorem of T.

Is Fermat's Last "Theorem" really a theorem?

Is the Poincaré Conjecture a theorem?

For  $n \ge 3$ , there are no whole numbers x, y, z such that  $x^n + y^n = z^n$ .



Pierre de Fermat

Proven by Andrew Wiles in 1993 after 3 centuries of work.

Every simply connected closed 3-manifold is homomorphic to the 3-sphere. (Or: the 3-sphere is the only type of bounded 3-dim space that contains no holes.)



Henri Poincaré



Wouldn't it be easier if there were a program that decided which statements were theorems and which weren't?



### Link between mechanical ("effective") decidability and recursive properties.

- A recursive property can be encoded in a *primitive recursive* (p.r.) function.
- <u>And</u>: P.r. functions are generated by a mechanical algorithm.

*Idea*: Start with three simple functions as your "starter pack":

- (i) Successor function. S(x) =successor of x.
- (ii) Zero function. Z(x) = 0.
- (iii) k-place identity function.  $I_i^k(x_1, ..., x_k) = x_i$   $1 \le i \le k$ .

<u>Now</u>: Generate more complex functions from starter pack by one of two methods:

- (a) *Primitive recursion*: Specify value of function for 0, then specify value for a given argument in terms of its value for smaller arguments.
- (b) Composition: Generate a new function by composing two already-generated functions.

<u>Examples</u> :		
Sum function. $+(x, y)$	<u>Product function. <math>\times(x, y)</math></u>	<u>Factorial function. <math>!(x)</math></u>
$+(x, 0) = x = I_{1}^{1}(x)$	$\times(x, 0) = 0 = Z(x)$	!(0) = 1 = S(0)
+(x, S(y)) = S(+(x, y))	$\times(x, S(y)) = +(\times(x, y), x)$	$!(S(y)) = \times (!(x), S(x))$

## <u>Claim (Church's Thesis)</u>:

A (partial) function on the natural numbers is computable by algorithm (mechanically computable) *if and only if* it is a recursive (partial) function.



Alonzo Church

### <u>So</u>: Gödel's 1st Incompleteness theorem says:

"Any attempt to consistently formalize arithmetic as a first-order theory with "mechanically" recognizable axioms will be negation incomplete: There will be some claim about natural numbers that is neither provable nor refutable in the theory."

### What's the Big Deal?

• Big Deal if you think there is a formal theory that captures all the claims of arithmetic.





A. N. Whitehead



Bertrand Russell

## 4. Aspects of the Proof

<u>Peano Arithmetic</u>: A first-order recursively axiomatizable formal theory of arithmetic; call it N, with language  $L_N$ .

<u>The Alphabet of  $L_N$ </u>

0	individual constant
$\mathbf{X}, \mathbf{Y}, \mathbf{Z},, \mathbf{V}_k$	individual variables $(k \ge 0)$
=	2-place predicate (identity)
S	1-place function (successor)
+, ×	2-place functions (sum, product)
$\land,\lor,\neg,\supset,\forall,\exists,(,)$	connectives, quantifiers, punctuation



 $Giuseppe\ Peano$ 

<u>Grammar of  $L_N$ </u>: Same as  $\mathbf{QL}^f$ .

- Convention: Write  $t_1 + t_2$  and  $t_1 \times t_2$ , instead of  $+(t_1, t_2)$  and  $\times(t_1, t_2)$ .

<u>Semantics of  $L_N$ </u>: Same as  $\mathbf{QL}^f$ .

- Intended domain of all *q*-valuations is the set of natural numbers.
- On this domain:

- The q-value of the constant  $\mathbf{0}$  is the number 0.

- The q-value of = is the set of all 2-tuples of numbers of the form  $\langle m_1, m_2 \rangle$  where  $m_1 = m_2$ .
- The q-value of **S** is the set of 2-tuples of numbers  $\{\langle 0,1\rangle, \langle 1,2\rangle, \langle 2,3\rangle, \langle 3,4\rangle, \dots \}$ .
- The q-value of + is the set of all 3-tuples of numbers of form  $\langle m_1, m_2, m_3 \rangle$  where  $m_1 + m_2 = m_3$ .
- The q-value of  $\times$  is the set of all 3-tuples of numbers of form  $\langle m_1, m_2, m_3 \rangle$  where  $m_1 \times m_2 = m_3$ .

The axioms of N

(N1)	$\forall \mathbf{x} \neg (0 = \mathbf{S} \mathbf{x})$	
(N2)	$\forall x \forall y (Sx = Sy \supset x = y)$	
(N3)	$\forall \mathbf{x}(\mathbf{x} + 0 = \mathbf{x})$	
(N4)	$\forall \mathbf{x} \forall \mathbf{y} (\mathbf{x} + \mathbf{y} = \mathbf{S} (\mathbf{x} + \mathbf{y}))$	
(N5)	$\forall \mathbf{x} (\mathbf{x} \times 0 = 0)$	
(N6)	$\forall \mathbf{x} \forall \mathbf{y} (\mathbf{x} \times \mathbf{S} \mathbf{y} = (\mathbf{x} \times \mathbf{y}) + \mathbf{x})$	
(N7)	$(\{\varphi(0) \land \forall \mathbf{x}(\varphi(\mathbf{x}) \supset \varphi(\mathbf{S}\mathbf{x})))\} \supset \forall \mathbf{x}\varphi(\mathbf{x})),$	for $\varphi(\mathbf{x})$ an open <i>wff</i> with $\mathbf{x}$ free.

• (N7) is the Axiom of Mathematical Induction.

- <u>It says</u>: "For any property of natural numbers  $\varphi$ , if 0 has it, and if, for any number n, if n has it entails that the successor of n has it, then all numbers have it."
- <u>Now</u>: Let's show that N is recursively axiomatizable.
  - <u>Which means</u>: Its axioms can be encoded in recursive functions.
- To do this, we'll first code the *wffs* and sequences of *wffs* of  $L_N$  as numbers.

### <u>Gödel Numbering</u>

• Let the symbols in the alphabet of  $L_N$  be encoded by numbers by:

$\wedge$	$\vee$	_	$\supset$	$\forall$	Ξ	(	)	0	_	S	+	×	x	у	z	•••
1	3	5	7	9	11	13	15	17	19	21	23	25	2	4	6	•••

• Let expression e in  $L_N$  be the sequence of k+1 symbols  $s_0, s_1, ..., s_k$ .

Algorithm to go from an expression e to its Gödel number (g.n.)

- 1. Take the code number  $c_i$  for each  $s_i$ .
- 2. Use  $c_i$  as an exponent for the (i+1)th prime number  $\pi_i$ .

3. Multiply the results to get  $\pi_0^{c_0} \pi_1^{c_1} \pi_2^{c_2} \dots \pi_k^{c_k}$ .

- **S** has g.n. 2<sup>21</sup>.
- **SSO** has g.n.  $2^{21}3^{21}5^{17}$ .
- $\exists \mathbf{y}(\mathbf{SS} + \mathbf{y}) = \mathbf{SS0}$  has g.n.  $2^{11}3^45^{13}7^{21}11^{21}13^{23}17^419^{15}23^{19}29^{21}31^{21}37^{17}!$

### <u>Algorithm to go from a g.n. to an expression e</u>

- (i) Calculate the (unique) prime factorization of the g.n.
- (ii) Find the sequence of exponents of the prime factors.

<u>Algorithm to go from a sequence of expressions  $e_0, e_1, ..., e_n$  to a g.n.</u>

- 1. Calculate the g.n. of each  $e_i$ .
- 2. Use  $g_i$  as an exponent for the (i+1)th prime number  $\pi_i$ .
- 3. Multiply the results to get  $\pi_0^{g_0} \pi_1^{g_1} \pi_2^{g_2} \dots \pi_n^{g_n}$ .

Algorithm to go from a g.n. to a sequence of expressions

- (i) Find the sequence of exponents of the prime factors of the g.n.
- (ii) Treat these exponents as g.n.s and take their prime factors.
- A proof in N can be written as a sequence of *wffs*, hence encoded in a g.n.
  - <u>Ex</u>: Algorithm for rewriting a tree proof as a linear sequence of wffs.
  - (i) List trunk *wffs* first.
  - (ii) At a fork, take left branch, and continue listing *wffs* that have not yet appeared in the sequence.
  - (iii) At the end of a branch, return to the last fork, take the right branch, and continue listing wffs.
  - (iv) Repeat (ii) and (iii) until all branches have been followed.

• Gödel numbers let us encode syntactic properties of the language  $L_N$  in purely numerical properties of (relations between) of natural numbers.

<u>Table 1: Important Example</u>	2 <u>.8</u>
<u>Syntactic property</u>	<u>Numerical relation</u>
Being a term of $L_N$ .	$Term(n)$ . Holds just when n is the g.n. of a term of $L_N$ .
Being an atomic $wff$ of $L_N$ .	$Atom(n)$ . Holds just when n is the g.n of an atomic wff of $L_N$ .
Being a $wff$ of $L_N$ .	$W\!f\!f(n)$ . Holds just when n is the g.n. of a $w\!f\!f$ of $L_N$ .
Being a closed $wff$ of $L_N$ .	$Sent(n)$ . Holds just when n is the g.n. of a closed wff of $L_N$ .
Being an axiom of $N$ .	Ax(n). Holds just when n is the g.n. of an axiom of N.
Being a proof in $N$ .	Prf(m, n). Holds just when m is the g.n. of a proof in N of the closed wff with g.n. n.

<u>Claim 1</u>: All of the numerical relations in Table 1 are primitive recursive.

### What this means:

- To say Term(n) is primitive recursive is to say that there is a p.r. function that computes Term(n); i.e., that tells us, for a given n, if Term(n) holds.
- <u>Idea</u>: To show this, we have to find p.r. functions that encode the algorithm that goes from a g.n. to an expression of  $L_N$ , and we have to find p.r. functions that encode the algorithm that determines what a term is in  $L_N$ .
- <u>Note</u>: That Ax(n) is primitive recursive demonstrates that N is recursively axiomatizable.

### Expressibility in N

• Let  $\bar{\mathbf{n}}$  be shorthand for the term SSS...S0 in  $L_N$ , where S occurs *n*-times.

A k-place numerical relation P is <u>expressible</u> in N just when there is a wff  $\varphi(\mathbf{v}_1, ..., \mathbf{v}_k)$  of  $L_N$  with free occurances of  $\mathbf{v}_1, ..., \mathbf{v}_k$ , such that for any natural numbers  $n_1, ..., n_k$ ,

if  $n_1, ..., n_k$ , stand in relation P to each other, then  $N \vdash \varphi(\bar{\mathbf{n}}_1, ..., \bar{\mathbf{n}}_k)$ ,

if  $n_1, ..., n_k$  do not stand in relation P to each other, then  $N \vdash \neg \varphi(\bar{\mathbf{n}}_1, ..., \bar{\mathbf{n}}_k)$ .

<u>Ex</u>. The 1-place numerical relation ev(n) of being even is expressible in N.

- The wff of  $L_N$  that expresses this is  $\exists \mathbf{y}(2 \times \mathbf{y} = \mathbf{x})$ , where  $\mathbf{x}$  occurs free.
- <u>Which means</u>: For any natural number n, if n is even, then  $N \vdash \exists \mathbf{y}(2 \times \mathbf{v} = \overline{\mathbf{n}})$ , if n is not even, then  $N \vdash \neg \exists \mathbf{y}(2 \times \mathbf{v} = \overline{\mathbf{n}})$ .
- <u>So</u>: To say Prf(m, n) is expressible in N is to say that there is a wff of  $L_N$ , call it  $\mathcal{PF}(\mathbf{x}, \mathbf{y})$  which says " $\mathbf{x}$  is the g.n. of a proof in N of the wff with g.n.  $\mathbf{y}$ ", such that, for any numbers m, n:

if Prf(m, n) holds, then  $N \vdash \mathcal{PF}(\overline{\mathbf{m}}, \overline{\mathbf{n}})$ ,

if Prf(m, n) does not hold, then  $N \vdash \neg \mathcal{PF}(\overline{\mathbf{m}}, \overline{\mathbf{n}})$ .

<u>Claim 2</u>: A numerical relation is primitive recursive if and only if it is expressible in N.

### The Gödel Sentence of N

<u>Def.</u> The 2-place numerical relation W(m, n) holds just when m is the g.n. of a proof in N of the wff  $\varphi(\bar{\mathbf{n}})$ , obtained from the wff  $\varphi(\mathbf{y})$  (in which  $\mathbf{y}$  occurs free) whose g.n. is n.

- <u>Claim</u>: W(m, n) is primitive recursive.
  - <u>So</u>: There's a wff  $\mathcal{W}(\mathbf{x}, \mathbf{y})$  that expresses W(m, n) in N.

<u>Def</u>: The <u>Gödel sentence</u>  $\mathcal{G}$  is the wff  $\forall \mathbf{x} \neg \mathcal{W}(\mathbf{x}, \overline{\mathbf{p}})$ , where p is the g.n. of the wff  $\mathcal{U}(\mathbf{y}) =_{def} \forall \mathbf{x} \neg W(\mathbf{x}, \mathbf{y})$ , in which  $\mathbf{y}$  occurs free.

 $\begin{array}{l} \underline{\mathcal{G} \ says}: \ \text{"There is no number } m \text{ such that } m \text{ is the g.n. of a proof in } N \text{ of } \mathcal{U}(\overline{p})." \\ \underline{But}: \ \mathcal{U}(\overline{p}) \text{ is just } \mathcal{G}! \\ \underline{So}: \ \mathcal{G} \text{ says}: \ \text{"There is no proof in } N \text{ of } \mathcal{G}." \end{array}$ 

<u>*Claim 1*</u>:  $\mathcal{G}$  is true if and only if it is unprovable in N.

- If  $\mathcal{G}$  is true, then "There is no proof of  $\mathcal{G}$  in N" is true; hence  $\mathcal{G}$  is unprovable in N.
- If  $\mathcal{G}$  is unprovable, then there is no m such that m is the g.n. of a proof in N of  $\mathcal{G}$ ; so  $\mathcal{G}$  is true.

### <u>Claim 2</u>: If N is sound, then N is not negation complete.

• <u>Idea</u>: We will show that  $\mathcal{G}$  is a *wff* of  $L_N$  such that neither  $N \vdash \mathcal{G}$  nor  $N \vdash \neg \mathcal{G}$ .

<u>Suppose</u> : $N$ is sound.						
- <u>Then</u> : For any $wff \varphi$ , if $N \nvDash \varphi$ , then $N \nvDash \varphi$ .	"If $\varphi$ is false, then $\varphi$ is not provable."					
- <u>Now</u> : Suppose $N \vdash \mathcal{G}$ .	Suppose $\mathcal{G}$ could be proved in N.					
- <u>Then</u> : $N \nvDash \mathcal{G}$ .	Since $\mathcal{G}$ is provable if and only if it is false					
	(Claim 1.)					
- <u>So</u> : $N \nvDash \mathcal{G}$ .	From soundness of N.					
- <u>Thus</u> : $N \models \mathcal{G}$ .	Claim 1.					
- <u>So</u> : $N \nvDash \neg \mathcal{G}$ .	$Or \neg \mathcal{G} \text{ is false.}$					
- <u>So</u> : $N \nvDash \neg \mathcal{G}$ .	From soundness of N.					
- <u>Thus</u> : $\mathcal{G}$ is a wff of $L_N$ such that neither $N \vdash \mathcal{G}$ nor $N \vdash \neg \mathcal{G}$ .						
<u><i>Thus:</i></u> $N$ is not negation complete.						

- <u>Note</u>: This is a "semantic" proof of N's negation incompleteness (it relies on the notion of soundness).
- What about a purely "syntactic" proof of N's negation incompleteness?

<u>Claim 3</u>: If N is consistent, then there is a wff  $\varphi$  of  $L_N$  such that  $N \nvDash \varphi$ ; and if N is  $\omega$ -consistent, then  $N \nvDash \neg \varphi$ .

• <u>*First*</u>: Show that if N is consistent, then  $N \nvDash \mathcal{G}$ .

<u>Suppose</u>:  $\mathcal{G}$  is provable in N.

- <u>Then</u>: There is a natural number m such that m is the g.n. of a proof in N of  $\mathcal{G}$ .
- <u>So</u>: The 2-place numerical relation W(m, p) holds, where p is the g.n. of the wff  $\mathcal{U}(\mathbf{y})$ .
- <u>So</u>:  $N \vdash \mathcal{W}(\overline{\mathbf{m}}, \overline{\mathbf{p}})$ .
- <u>Now</u>:  $\mathcal{G}$  entails  $\neg \mathcal{W}(\overline{m}, \overline{p})$ .
- <u>So</u>: Since  $N \vdash \mathcal{G}$ , we have  $N \vdash \neg \mathcal{W}(\overline{\mathsf{m}}, \overline{\mathsf{p}})$ .

<u>Thus</u>: N is inconsistent. (There is a  $wff \mathcal{W}(\bar{\mathbf{m}}, \bar{\mathbf{p}})$  such that both it and its negation are theorems of N.)

 $Or \ N \vdash \forall \mathbf{x} \neg \mathcal{W}(\mathbf{x}, \, \overline{\mathbf{p}}).$ 

Recall that  $\mathcal{U}(\bar{p})$  is  $\mathcal{G}$ .

Since W(m, n) is expressible in N.

Universal instantiation.

<u>Claim 3</u>: If N is consistent, then there is a wff  $\varphi$  of  $L_N$  such that  $N \nvDash \varphi$ ; and if N is  $\omega$ -consistent, then  $N \nvDash \neg \varphi$ .

<u>Def</u>: A theory T with  $L_N$  as its language is <u> $\omega$ -inconsistent</u> just when, for some open wff  $\varphi(\mathbf{x})$ , T can prove each  $\varphi(\overline{\mathbf{m}})$  and T can also prove  $\neg \forall \mathbf{x} \varphi(\mathbf{x})$  (i.e.,  $\exists \mathbf{x} \neg \varphi(\mathbf{x})$ ).

- <u>Or</u>: T can prove  $\varphi$  for each natural number, and it can also prove  $\neg \varphi$  for some natural number.
- <u>Now</u>: Show that if N is  $\omega$ -consistent, then  $N \nvDash \neg \mathcal{G}$ .



<u>But</u>: Claim 3 still doesn't quite say, "If N is consistent, then N is negation complete."

- Can show the following:
  - I. If N is consistent, recursively axiomatizable, and negation complete, then it is recursively decidable.
  - II. If N is consistent and recursively axiomatizable, then it is not recursively decidable.
  - So: If N is consistent and recursively axiomatizable, then it is not negation complete.

*Proof of (I).* Show how to construct a mechanical procedure that decides, for any  $wff \varphi$ of  $L_N$ , whether  $\varphi$  is a theorem of N.

<u>Suppose</u>: N is consistent, recursively axiomatizable, and negation complete.

- Let  $\varphi$  be an arbitrary wff of  $L_N$ .
- Generate a list of N's theorems.
- Either  $\varphi$  or  $\neg \varphi$  must appear.
- If  $\varphi$  appears, then  $\varphi$  is a theorem.
- If  $\neg \varphi$  appears, then  $\varphi$  is not a theorem. Because N is consistent.

How to mechanically generate a list of N's theorems - For each number n, check all numbers m to see if Prf(m, n) holds. - If it does hold, add the wff whose g.n. is n to the list.

<u>*Note*</u>: This is different from having a mechanical procedure that i determines, for any  $\varphi$ , whether it will ever turn up in the list!

Since N is recursively axiomatizable.

Because N is negation complete.

Proof of (II) If N is consistent and recursively axiomatizable, then it is not recursively decidable.

<u>Suppose</u>: N is recursively decidable. Then N is recursively axiomatizable.

- <u>Now</u>: Show that N is not consistent.

1. List all the 1-place recursive properties of numbers  $P_0(n)$ ,  $P_1(n)$ , ... as recursive sets of numbers:

	0	1	2	•••	
0	no	yes	no		Each row represents the extension of the property labeled by that row:
1	yes	yes	yes	•••	Extension of $P_0$ is $\{1,\}$
2	no	yes	yes		Extension of $P_1 = \{0, 1, 2,\}$ Extension of $P_2 = \{1, 2,\}$
:	•	•	•	•••	

2. Define a 1-place property D(n) by: D(n) holds if and only if  $P_n(n)$  does not hold. <u>Or</u>: D(n) holds if and only if  $\neg \mathcal{P}_n(\bar{\mathbf{n}})$  is a theorem in N, where  $\mathcal{P}_n(\mathbf{x})$  expresses  $P_n(n)$  in N.

3. <u>Claim</u>: D(n) is a recursive property, so it must be in the list, say  $D(n) = P_m(n)$ .

<u>Proof</u>: The following is a mechanical procedure that decides if a number n has the property D: (i) For any number n, check if  $\neg \mathcal{P}_n(\bar{\mathbf{n}})$  is a theorem of N (possible since N is recursively decidable). (ii) If so, then D(n) holds. (iii) If not, then D(n) doesn't hold. Proof of (II) If N is consistent and recursively axiomatizable, then it is not recursively decidable.

Suppose: N is recursively decidable. Then N is recursively axiomatizable.

<u>Now</u>: Show that N is not consistent.

List all the 1-place recursive properties of numbers  $P_0(n)$ ,  $P_1(n)$ , ... as recursive sets of numbers: 1.

	0	1	2	•••	
0	no	yes	no		Each row represents the extension of the property labeled by that row:
1	yes	yes	yes	•••	Extension of $P_0$ is $\{1,\}$
2	no	yes	yes		Extension of $P_1 = \{0, 1, 2,\}$ Extension of $P_2 = \{1, 2,\}$
:		•	•	•.	

- Define a 1-place property D(n) by: D(n) holds if and only if  $P_n(n)$  does not hold. 2. <u>Or</u>: D(n) holds if and only if  $\neg \mathcal{P}_n(\bar{\mathbf{n}})$  is a theorem in N, where  $\mathcal{P}_n(\mathbf{x})$  expresses  $P_n(n)$  in N.
- <u>*Claim*</u>: D(n) is a recursive property, so it must be in the list, say  $D(n) = P_m(n)$ . 3.
- <u>Question</u>: Does D(m) hold? (Does the number m have the property D that it labels?) 4.
  - (a) D(m) holds if and only if  $\neg \mathcal{P}_m(\bar{\mathbf{m}})$  is a theorem in N. By definition of D.
- <u>Now</u>: (a) and (c) entail that  $\neg \mathcal{P}_m(\bar{\mathbf{m}})$  is a theorem in N.
- So(a) entails that D(m) holds.
- <u>But</u>: (b) then entails that  $\mathcal{P}_m(\bar{\mathbf{m}})$  is a theorem in N.

There's a wff  $\mathcal{P}_m(\bar{\mathbf{m}})$  of  $L_N$  such that both it and its negation are theorems in N. Thus:

(b) If D(m) holds, then  $\mathcal{P}_m(\bar{\mathbf{m}})$  is a theorem in N. (c) If D(m) doesn't hold, then  $\neg \mathcal{P}_m(\bar{\mathbf{m}})$  is a theorem in N. Now: (a) and (b) entail that  $-\mathcal{P}_m(\bar{\mathbf{m}})$  is a theorem in N.