

## Chapter 30: Soundness and Completeness for QL Trees

### Soundness of QL Trees

If a **QL** argument is not  $q$ -valid, then the corresponding **QL** tree will never close.

Let: " $\vdash_{QL}$ " mean "**QL** tree-entails"

Let: " $\models_{QL}$ " mean " $q$ -entails"

Then: Soundness of **QL** Trees means: If  $A_1, \dots, A_n \vdash_{QL} C$ , then  $A_1, \dots, A_n \models_{QL} C$ .

Now: Recall that a path in a tree is *satisfiable* if there is a valuation that makes all *wff* on the path true.

### Proof of Soundness of QL Trees

Suppose: The **QL** argument  $A_1, \dots, A_n \therefore C$  is not  $q$ -valid.

Then: There's a  $q$ -valuation  $q$  such that  $A_1 \Rightarrow_q T, \dots, A_n \Rightarrow_q T, \neg C \Rightarrow_q T$ .

So: The initial trunk of the corresponding **QL** tree is satisfiable.

Lemma: Every possible way of extending a satisfiable path in a **QL** tree leads to *at least one* longer satisfiable path.

So: Since a satisfiable path doesn't close, a **QL** tree with a satisfiable initial trunk will never close.

Lemma: Every possible way of extending a satisfiable path in a **QL** tree leads to *at least one* longer satisfiable path.

Proof: Need to consider all possible ways of extending a satisfiable path in a **QL** tree:

Case 1: Non-branching rules (a)–(d).

If a satisfiable path is extended by a non-branching rule, it remains satisfiable.

Ex.  $(A \wedge B)$   
     $A$   
     $B$

Suppose:  $(A \wedge B) \Rightarrow_q \text{T}$ .

Then:  $A \Rightarrow_q \text{T}$  and  $B \Rightarrow_q \text{T}$  (Q2).

Case 2: Branching rules (e)–(i).

If a satisfiable path is extended by a branching rule, then at least one of the branches remains satisfiable.

Ex.  $(A \vee B)$   
     $A$                        $B$

Suppose:  $(A \vee B) \Rightarrow_q \text{T}$ .

Then: Either  $A \Rightarrow_q \text{T}$  or  $B \Rightarrow_q \text{T}$ , or possibly both (Q3).

So: We're guaranteed at least one branch is such that  $q$  makes all *wffs* on it true.

Case 3:  $(\neg\forall)$  and  $(\neg\exists)$  rules.

If a satisfiable path is extended using  $(\neg\forall)$  or  $(\neg\exists)$ , then it remains satisfiable.

Ex.      $\neg\forall\mathbf{x}\mathbf{F}\mathbf{x}$   
           $\exists\mathbf{x}\neg\mathbf{F}\mathbf{x}$

Suppose:  $\neg\forall\mathbf{x}\mathbf{F}\mathbf{x} \Rightarrow_q \mathbf{T}$ .  
Then:  $\exists\mathbf{x}\neg\mathbf{F}\mathbf{x} \Rightarrow_q \mathbf{T}$  (V3).

Case 4:  $(\exists)$  rule.

If a satisfiable path is extended using  $(\exists)$ , then it remains satisfiable.

Ex.      $\exists\mathbf{x}\mathbf{F}\mathbf{x}$   
           $\mathbf{F}\mathbf{c}$

Suppose:  $\exists\mathbf{x}\mathbf{F}\mathbf{x} \Rightarrow_q \mathbf{T}$ , and  $q$  doesn't evaluate  $\mathbf{c}$ .  
Then: There's an extension  $q^+$  of  $q$  that does such that  $\mathbf{F}\mathbf{c} \Rightarrow_{q^+} \mathbf{T}$  and  $\exists\mathbf{x}\mathbf{F}\mathbf{x} \Rightarrow_{q^+} \mathbf{T}$  (V2).  
So: The path remains satisfiable, now by  $q^+$ .

Case 5:  $(\forall')$  rule.

If a satisfiable path is extended using  $(\forall')$ , then it remains satisfiable.

Ex.      $\forall\mathbf{x}\mathbf{F}\mathbf{x}$   
           $\mathbf{F}\mathbf{c}$

Suppose:  $\forall\mathbf{x}\mathbf{F}\mathbf{x} \Rightarrow_q \mathbf{T}$ , and  $q$  evaluates  $\mathbf{c}$ .  
Then:  $\mathbf{F}\mathbf{c} \Rightarrow_q \mathbf{T}$  (V1).  
Now: Suppose  $q$  doesn't evaluate  $\mathbf{c}$ .  
Then:  $\mathbf{F}\mathbf{c} \Rightarrow_{q^+} \mathbf{T}$  for any extension  $q^+$  of  $q$  that does (V1).  
And:  $\forall\mathbf{x}\mathbf{F}\mathbf{x} \Rightarrow_{q^+} \mathbf{T}$  (V5).  
So: Either way, there's a valuation that satisfies the extended path.

### Completeness of QL Trees

If every **QL** tree starting with the closed *wffs*  $A_1, \dots, A_n, \neg C$  remains open, then the **QL** argument  $A_1, \dots, A_n \therefore C$  is not *q*-valid.

Note: Completeness of **QL** Trees means: If  $A_1, \dots, A_n \models_{QL} C$ , then  $A_1, \dots, A_n \vdash_{QL} C$ .

- To prove completeness of **QL** Trees, we first need the following definitions:

Def 1. A set of *wffs*  $\Sigma$  is *syntactically consistent* if it contains no pairs of *wffs* of the form  $A, \neg A$ .

Def 2. A set  $\Sigma$  of closed **QL** *wffs* is *saturated* if it contains a *truth-maker* for every non-primitive *wff* in it.

- A *truth-maker* for a non-primitive *wff*  $A$  is a *wff* that must be true in order for  $A$  to be true.

So: A set  $\Sigma$  of closed **QL** wffs is *saturated* if it contains a *truth-maker* for every non-primitive wff in it; which means  $\Sigma$  is saturated if all of the following hold:

- (a) If  $\neg\neg A$  occurs in  $\Sigma$ , then so does  $A$ .
- (b) If  $(A \wedge B)$  occurs in  $\Sigma$ , then so do both  $A$  and  $B$ .
- (c) If  $\neg(A \vee B)$  occurs in  $\Sigma$ , then so do both  $\neg A$  and  $\neg B$ .
- (d) If  $\neg(A \supset B)$  occurs in  $\Sigma$ , then so do both  $A$  and  $\neg B$ .
- (e) If  $(A \vee B)$  occurs in  $\Sigma$ , then so does at least one of  $A$  or  $B$ .
- (f) If  $\neg(A \wedge B)$  occurs in  $\Sigma$ , then so does at least one of  $\neg A$  or  $\neg B$ .
- (g) If  $(A \supset B)$  occurs in  $\Sigma$ , then so does at least one of  $\neg A$  or  $B$ .
- (h) If  $(A \equiv B)$  occurs in  $\Sigma$ , then so does at least one of  $A$ ,  $B$  or  $\neg A$ ,  $\neg B$ .
- (i) If  $\neg(A \equiv B)$  occurs in  $\Sigma$ , then so does at least one of  $A$ ,  $\neg B$  or  $\neg A$ ,  $B$ .
- (j) If  $\neg\forall vC(\dots v\dots v\dots)$  occurs in  $\Sigma$ , then so does  $\exists v\neg C(\dots v\dots v\dots)$ .
- (k) If  $\neg\exists vC(\dots v\dots v\dots)$  occurs in  $\Sigma$ , then so does  $\forall v\neg C(\dots v\dots v\dots)$ .
- (l) If  $\forall vC(\dots v\dots v\dots)$  occurs in  $\Sigma$ , then so does  $C(\dots c\dots c\dots)$  for every constant  $c$  that appears in  $\Sigma$ , or if no constants appear in  $\Sigma$ , then  $C(\dots c\dots c\dots)$  must for some constant  $c$ .
- (m) If  $\exists vC(\dots v\dots v\dots)$  occurs in  $\Sigma$ , then so does  $C(\dots c\dots c\dots)$  for some constant  $c$ .

Potential problem with (l)

- (l) specifies a truth-maker for a universal as an instance of it.
- But: If the domain contains unnamed objects, then all instances of a universal can be true, yet the universal can be false! In such cases, (l) does not specify all truth-makers.
- However: (l) will specify all truth-makers for a universal for a  $q$ -valuation that has a domain with one object for every constant in  $\Sigma$  and nothing else.
- Under this "chosen valuation", if all instances of a universal are true, so is the universal.

Now: Suppose the following claims are true:

- (Sys) There is a systematic way to construct a **QL** tree such that either (i) it closes, or (ii) it has an open path (possibly infinite), the *wffs* on which all form a syntactically consistent, saturated set.
- (Sat) Every syntactically consistent, saturated set of closed **QL** *wffs* is satisfiable.

Proof of Completeness of QL Trees

Suppose: Every **QL** tree starting with closed *wffs*  $A_1, \dots, A_n, \neg C$  stays open.

Then: By (Sys), there's a systematically constructible **QL** tree with an open path whose *wffs* form a syntactically consistent, saturated set.

So: By (Sat), this path is satisfiable.

So: There's a  $q$ -valuation that makes  $A_1, \dots, A_n, \neg C$  all true.

Thus: The **QL** argument  $A_1, \dots, A_n \therefore C$  is not  $q$ -valid.

Proof of (Sat). Need to show:

- (C1) For any syntactically consistent, saturated set of closed **QL** *wffs*  $\Sigma$ , there is a  $q$ -valuation that makes the primitive *wffs* in  $\Sigma$  true.
- (C2) We can choose the domain of a  $q$ -valuation which makes the primitives in  $\Sigma$  true so that it makes all the *wffs* in  $\Sigma$  true.

(C1) For any syntactically consistent, saturated set of closed **QL** wffs  $\Sigma$ , there is a  $q$ -valuation that makes the primitive wffs in  $\Sigma$  true.

Proof.

Suppose:  $\Sigma$  is a syntactically consistent, saturated set of closed **QL** wffs.

Now: Construct a  $q$ -valuation  $q$  in the following way (the "chosen valuation"):

- (i) Domain = all numbers  $k$  such that the constant  $\mathbf{c}_k$  is in  $\Sigma$ .
- (ii) Constant assignments:  
Each constant  $\mathbf{c}_k$  is assigned the corresponding  $k$ -object.
- (iii) Predicate letter assignments:
  - Each one-place predicate letter  $\mathbf{F}$  is assigned the set of objects  $k$  such that the wff  $\mathbf{F}\mathbf{c}_k$  is in  $\Sigma$ .
  - Each two-place predicate letter  $\mathbf{R}$  is assigned the set of ordered pairs  $\langle j, k \rangle$  such that the wff  $\mathbf{R}\mathbf{c}_j\mathbf{c}_k$  is in  $\Sigma$ .
  - etc...

Ex.  $\Sigma = \{\mathbf{F}\mathbf{c}_5, \mathbf{F}\mathbf{c}_{10}, \mathbf{R}\mathbf{c}_{11}\mathbf{c}_{25}, \neg\mathbf{F}\mathbf{c}_{25}\}$ ,  $q$  is given by:  
Domain =  $\{5, 10, 11, 25\}$   
 $\mathbf{c}_5 \Rightarrow 5$ ,  $\mathbf{c}_{10} \Rightarrow 10$ ,  $\mathbf{c}_{11} \Rightarrow 11$ ,  $\mathbf{c}_{25} \Rightarrow 25$   
 $\mathbf{F} \Rightarrow \{5, 10\}$ ,  $\mathbf{R} \Rightarrow \{\langle 11, 25 \rangle\}$

Now: By design,  $q$  makes all atomic wff in  $\Sigma$  true.

But: Does  $q$  make all primitive wff in  $\Sigma$  true?

Suppose:  $\neg A$  is a primitive *wff* in  $\Sigma$  and  $q$  makes  $\neg A$  false.

Then:  $q$  makes  $A$  true.

Note:  $A$  consists of a predicate letter followed by constants (since it's a *closed primitive wff*).

And: The objects named by the constants that occur in  $A$  must be assigned by  $q$  to the predicate letter that occurs in  $A$ . (Q0).

And: This means  $A$  must be in  $\Sigma$ . (This is how  $q$  assigns objects to predicate letters: each predicate letter  $\mathbf{F}$  is assigned by  $q$  those objects  $i, j, k, \dots$  such that the *wff*  $\mathbf{F}c_i c_j c_k \dots$  is in  $\mathbf{S}$ .)

But:  $A$  *cannot* be in  $\Sigma$  (since  $\Sigma$  is syntactically consistent).

Thus:  $q$  must make  $\neg A$  true!



(C2) We can choose the domain of a  $q$ -valuation which makes the primitives in  $\Sigma$  true so that it makes all the *wffs* in  $\Sigma$  true.

Proof.

Suppose:  $q$  makes all primitive *wffs* in  $\Sigma$  true.

Now: Consider any non-primitive *wff*  $A$  that occurs in  $\Sigma$ .

Note: Since  $\Sigma$  is saturated, it must contain truth-makers for  $A$ , and truth-makers for the truth-makers of  $A$ ; and so on...

Then: At some point in this chain of truth-makers, they become primitive *wffs*, which  $q$  makes true.

Thus: Since these initial primitive truth-makers in the chain leading to  $A$  are true, so are all the rest, including  $A$ .

So:  $q$  makes all *wff* in  $\Sigma$  true.

Special Case:

Suppose:  $A$  is of the form  $\forall vC(\dots v\dots v\dots)$ .

Then: Since  $\Sigma$  is saturated, a *wff* of the form  $C(\dots c\dots c\dots)$  occurs in  $\Sigma$  for every constant  $c$  in  $\Sigma$  (or, if no constants occur in  $\Sigma$ , then  $C(\dots c\dots c\dots)$  does, for some constant  $c$ ).

Now:  $q$  has been designed so that the objects in its domain correspond 1-1 with all constants that appear in  $\Sigma$ .

Hence:  $q$  makes every possible instance in  $\Sigma$  of  $\forall vC(\dots v\dots v\dots)$  true, and thus it makes  $\forall vC(\dots v\dots v\dots)$  true.

(Sys) There is a systematic way to construct a **QL** tree such that either (i) it closes, or (ii) it has an open path (possibly infinite), the *wffs* on which all form a syntactically consistent, saturated set.

Proof.

Algorithm to construct a **QL** tree such that either (i) it closes, or (ii) it has a possibly infinite open path, the *wffs* on which all form a syntactically consistent, saturated set:

- (1) Start at trunk and apply tree rules to each *wff*.
- (2) Use  $(\forall)$  to instantiate universal *wffs* with all constants that have previously appeared.
- (3) Use  $(\exists)$  to instantiate any existential with a new constant  $c$ , and then go back and apply  $(\forall)$  again to all universals on that path with the new constant  $c$ .

Possible Results:

- (a) All branches close.
- (b) At least one path continues to remain open and
  - (i) it is finite; which means tree construction has halted; or
  - (ii) it is infinite; which means tree construction does not halt.

Claim: In all three cases, the *wffs* form a syntactically consistent, saturated set.

*Soundness and Completeness of **QL** Trees*

$A_1, \dots, A_n \vdash_{QL} C$ , if and only if  $A_1, \dots, A_n \models_{QL} C$ .