

# SORTING

Input: a list of numbers  $8, \sqrt{2}, 5, 21, \frac{1}{3}$

Output: a permutation that is in increasing order  $\frac{1}{3}, \sqrt{2}, 5, 8, 21$

# SORTING

Input: a list of numbers  $8, \sqrt{2}, 5, 21, \frac{1}{3}$

Output: a permutation that is in increasing order  $\frac{1}{3}, \sqrt{2}, 5, 8, 21$

An algorithm should do the following:

- produce correct output for all possible input

# SORTING

Input: a list of numbers  $8, \sqrt{2}, 5, 21, \frac{1}{3}$

Output: a permutation that is in increasing order  $\frac{1}{3}, \sqrt{2}, 5, 8, 21$

An algorithm should do the following:

- 1) produce correct output for all possible input
- 2) terminate quickly
- 3) not use a lot of space

# SORTING

Input: a list of numbers  $8, \sqrt{2}, 5, 21, \frac{1}{3}$

Output: a permutation that is in increasing order  $\frac{1}{3}, \sqrt{2}, 5, 8, 21$

An algorithm should do the following:

- 1) produce correct output for all possible input
  - 2) terminate quickly
  - 3) not use a lot of space
  - 4) be described clearly
- etc

## Issues that affect algorithmic design:

- input type: e.g., are numbers distinct? integer/real/irrational/etc?  
Do they have bounded size?


## Issues that affect algorithmic design:

- input type: e.g., are numbers distinct? integer/real/irrational/etc?  
Do they have bounded size?
- allowed operations: compare, add, truncate, etc

# Issues that affect algorithmic design:

- input type: e.g., are numbers distinct? integer/real/irrational/etc?  
Do they have bounded size?
- allowed operations: compare, add, truncate, etc
- data structure: array? linked list? tree? etc

## Issues that affect algorithmic design:

- input type: e.g., are numbers distinct? integer/real/irrational/etc?  
Do they have bounded size?
  - allowed operations: compare, add, truncate, etc
  - data structure: array? linked list? tree? etc
  - model of computation: time/space complexity of operations
- 




# Issues that affect algorithmic design:

- input type: e.g., are numbers distinct? integer/real/irrational/etc?  
Do they have bounded size?
  - allowed operations: compare, add, truncate, etc
  - data structure: array? linked list? tree? etc
  - model of computation: time/space complexity of operations
- 

- We focus on:
- comparison-based algorithms
  - constant time for basic ops (more later)

## Insertion sort

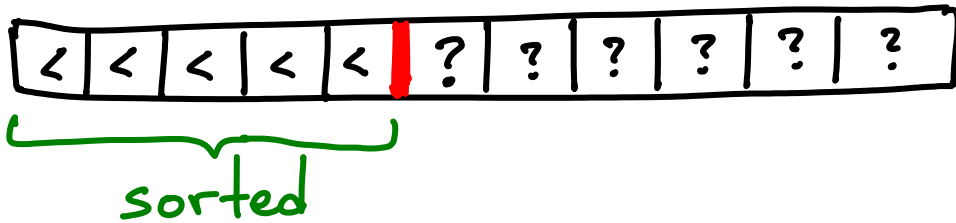
- Start with a sorted prefix of size 1.
  - Extend size of sorted prefix by 1
  - Repeat
- 

# Insertion sort

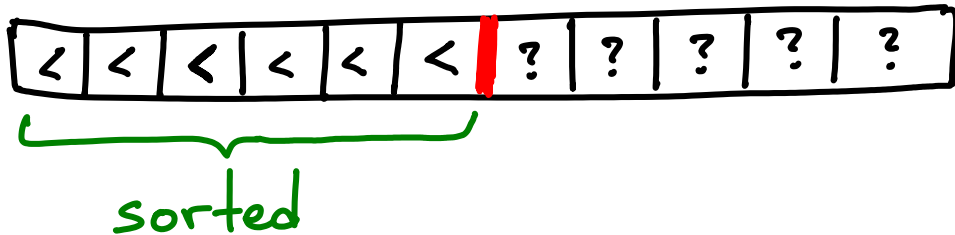
- Start with a sorted prefix of size 1.
- Extend size of sorted prefix by 1
- Repeat

In general:

Before



After

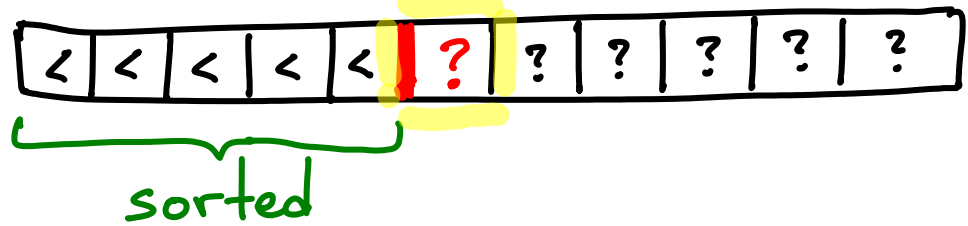


# Insertion sort

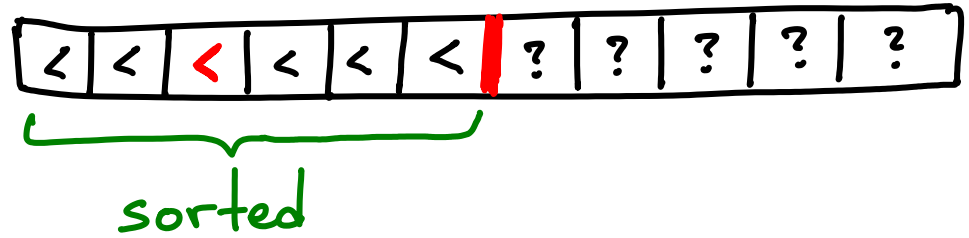
- Start with a sorted prefix of size 1.
- Extend size of sorted prefix by 1
- Repeat

In general:

Before



After



Use the **element** next to the prefix to extend the prefix...

sorted prefix

$S_1$	$S_2$	$S_3$	$S_4$	$S_5$	$S_6$	X	?	?	?	?
-------	-------	-------	-------	-------	-------	---	---	---	---	---

sorted prefix



if  $S_6 \leq X \rightarrow$  trivial extension

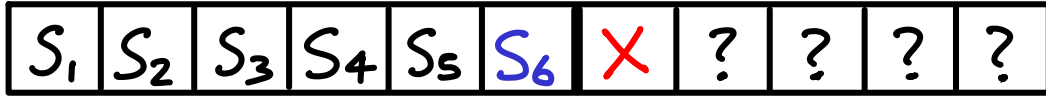
sorted prefix



if  $S_6 \leq X \rightarrow$  trivial extension

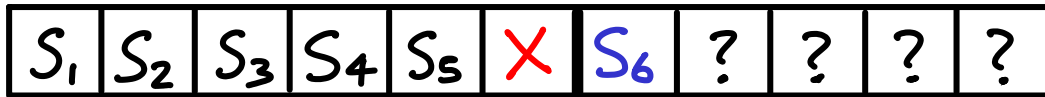
else  $S_6 > X$

sorted prefix



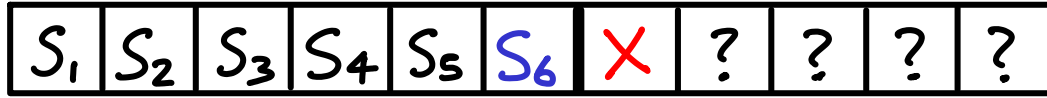
if  $S_6 \leq X \rightarrow$  trivial extension

else  $S_6 > X \rightarrow$  swap



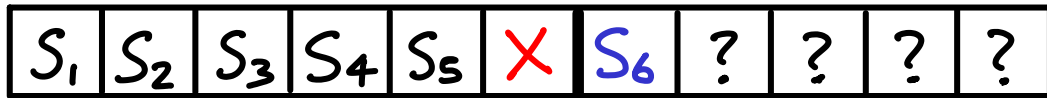


sorted prefix



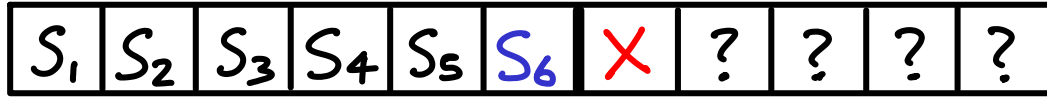
if  $S_6 \leq X \rightarrow$  trivial extension

else  $S_6 > X \rightarrow$  swap & compare X to  $S_5$



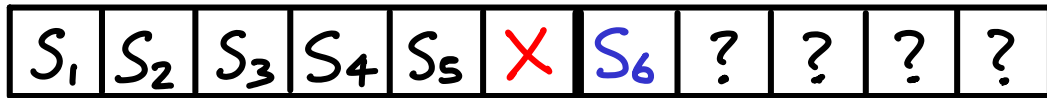
?

sorted prefix



if  $S_6 \leq X \rightarrow$  trivial extension

else  $S_6 > X \rightarrow$  swap & compare  $X$  to  $S_5$



?  
etc

If prefix size =  $j$  then we can insert  $X$  after at most  $j$  comparisons

$\leq j$  comparisons  $\rightarrow$  increase the sorted prefix size from  $j$  to  $j+1$

Terminate when prefix size =  $n$  (entire array)

$\leq j$  comparisons  $\rightarrow$  increase the sorted prefix size from  $j$  to  $j+1$

Terminate when prefix size =  $n$  (entire array)

comparisons  $\leq \sum_{j=1}^{n-1} j = 1 + 2 + 3 + \dots + (n-1)$

$\leq j$  comparisons  $\rightarrow$  increase the sorted prefix size from  $j$  to  $j+1$

Terminate when prefix size =  $n$  (entire array)

$$\begin{aligned} \text{comparisons} &\leq \sum_{j=1}^{n-1} j = 1 + 2 + 3 + \dots + (n-1) = \frac{n(n-1)}{2} \\ &= \frac{1}{2}n^2 - \frac{1}{2}n = \text{worst case \#comparisons} \end{aligned}$$

$\leq j$  comparisons  $\rightarrow$  increase the sorted prefix size from  $j$  to  $j+1$

Terminate when prefix size =  $n$  (entire array)

$$\begin{aligned} \text{comparisons} &\leq \sum_{j=1}^{n-1} j = 1 + 2 + 3 + \dots + (n-1) = \frac{n(n-1)}{2} \\ &= \frac{1}{2}n^2 - \frac{1}{2}n = \text{worst case \#comparisons} \end{aligned}$$

---

To actually implement, we need extra time & space

but just a constant amount per comparison e.g.,  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$

At an introductory level:

- we don't focus on whether it takes 1 or 2 or 5 operations to compare, swap & iterate:  $5 \cdot \left( \frac{1}{2}n^2 - \frac{1}{2}n \right)$

At an introductory level:

- we don't focus on whether it takes 1 or 2 or 5 operations to compare, swap & iterate:  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$

- we don't really care about non-leading terms:  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$



At an introductory level:

- we don't focus on whether it takes 1 or 2 or 5 operations to compare, swap & iterate:  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$

- we don't really care about non-leading terms:  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$

When  $n$  is HUGE, both of the above are unimportant.

At an introductory level:

- we don't focus on whether it takes 1 or 2 or 5 operations to compare, swap & iterate:  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$

- we don't really care about non-leading terms:  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$

When  $n$  is HUGE, both of the above are unimportant.

[ see  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$   
interpret  $n^2$  ]

At an introductory level:

- we don't focus on whether it takes 1 or 2 or 5 operations to compare, swap & iterate:  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$

- we don't really care about non-leading terms:  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$

When  $n$  is HUGE, both of the above are unimportant.

[ see  $5 \cdot \left(\frac{1}{2}n^2 - \frac{1}{2}n\right)$   
interpret  $n^2$  ]

This leads to  $\Theta$ -notation  
aka big-O notation