

SELECTION

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Given  $n$  values, find the  $k$ -th smallest

↓  
in an array  
or linked list

↓  
rank =  $k$

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- easy if we sort first  $\rightarrow O(n \log n)$
- easy and fast if  $k = O(1)$  or  $k = n - O(1) \rightarrow O(n)$   
harder as  $k \rightarrow \frac{n}{2}$  (median)

**SELECTION** - Given  $n$  values, find the  $k$ -th smallest

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Simple algorithm:

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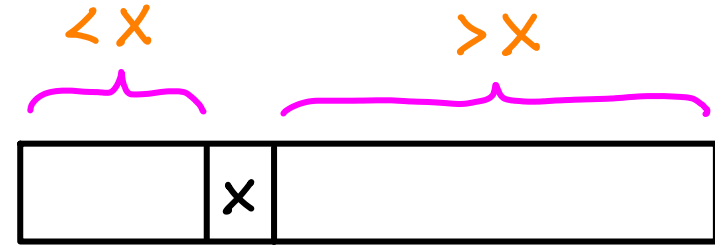
- pick a random input value:  $x$

# SELECTION - Given $n$ values, find the $k$ -th smallest

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Simple algorithm:

- pick a random input value:  $x$
- use  $x$  as pivot, partition input  $\rightarrow$

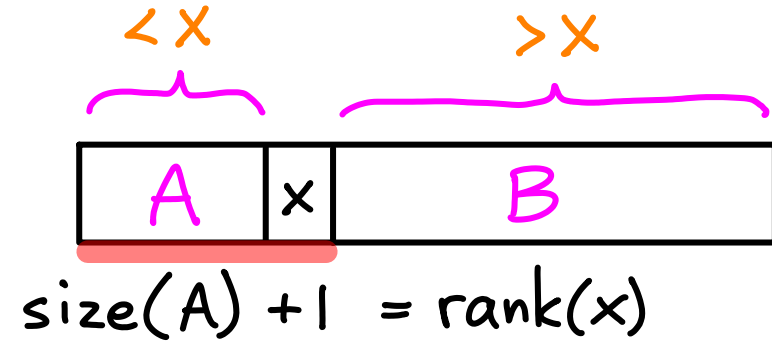




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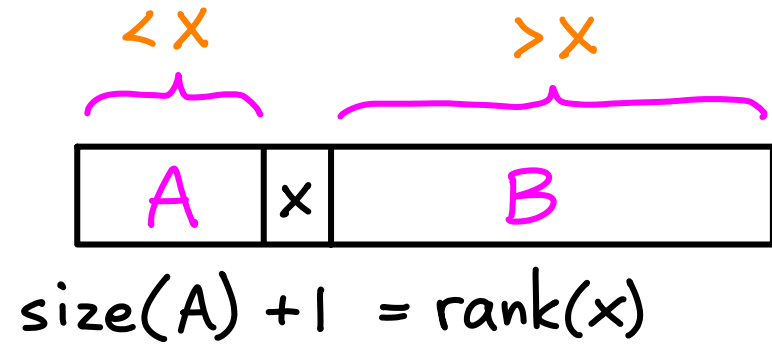
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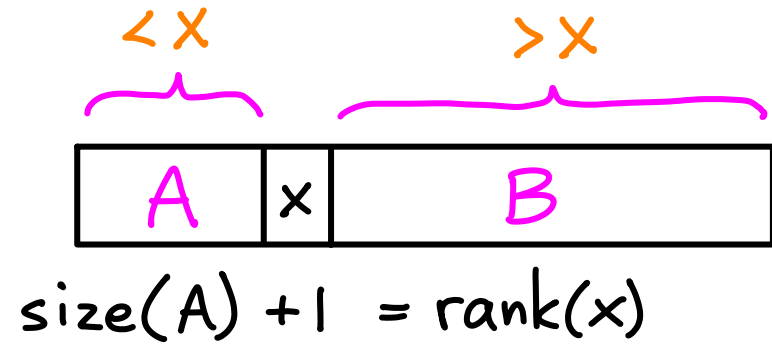
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- if  $\text{rank}(x) = k$ , return  $x$



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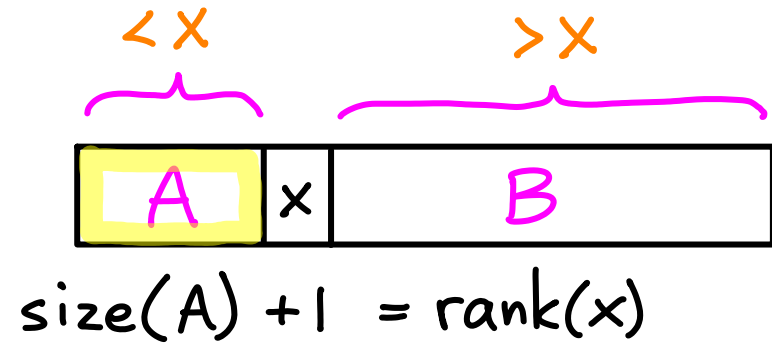
- pick a random input value:  $x$
- use  $x$  as pivot, partition input  $\rightarrow$
- $\left\{ \begin{array}{l} \text{if } \text{rank}(x) = k, \text{ return } x \\ \text{if } k < \text{rank}(x) \dots ? \end{array} \right.$



# SELECTION - Given $n$ values, find the $k$ -th smallest

Simple algorithm:

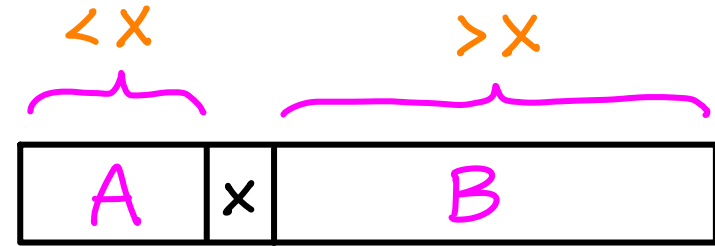
- pick a random input value:  $x$
- use  $x$  as pivot, partition input  $\rightarrow$
- if  $\text{rank}(x) = k$ , return  $x$   
if  $k < \text{rank}(x)$ , recurse on  $A$



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Simple algorithm:

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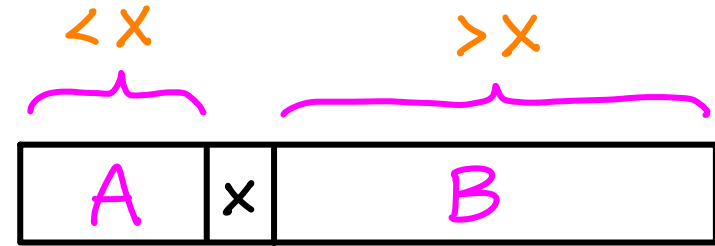
$$\text{size}(A) + 1 = \text{rank}(x)$$

- if  $\text{rank}(x) = k$ , return  $x$
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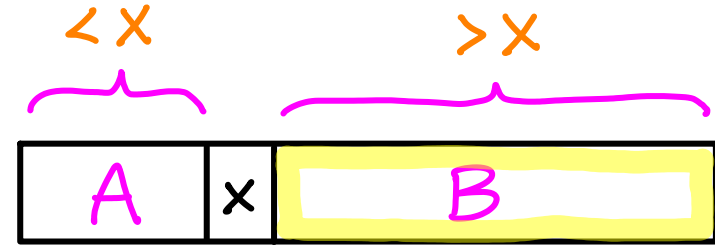
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- if  $\text{rank}(x) > k \dots ?$

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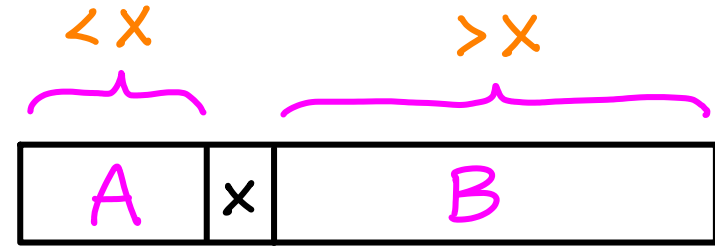
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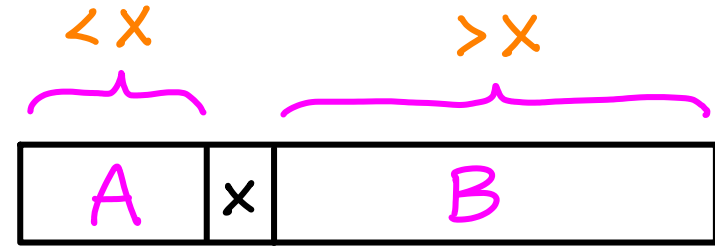
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Simple algorithm:

- pick a random input value:  $x$
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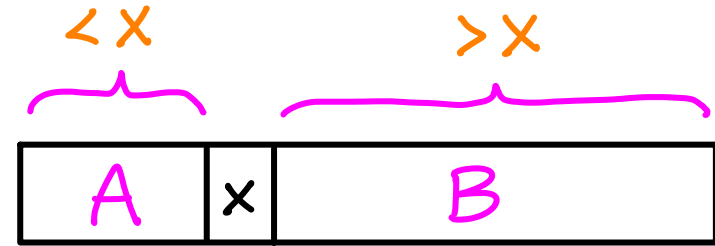
$$\text{size}(A) + 1 = \text{rank}(x) = r$$

- if  $\text{rank}(x) = k$ , return  $x$
- if  $k < \text{rank}(x)$ , recurse on  $A \rightarrow$  find value with rank  $k$
- if  $\text{rank}(x) > k$ , recurse on  $B \rightarrow$  find value with rank  $k - r$

# SELECTION - Given $n$ values, find the $k$ -th smallest

Simple algorithm:

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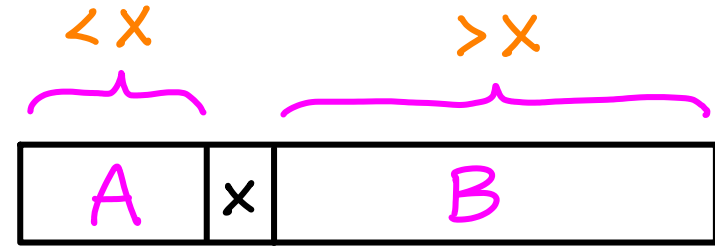
Worst case :  $T(n) = \Theta(?) + T(?)$

# SELECTION - Given $n$ values, find the $k$ -th smallest

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- pick a random input value:  $x$
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$\rightarrow$   
 $\Theta(n)$



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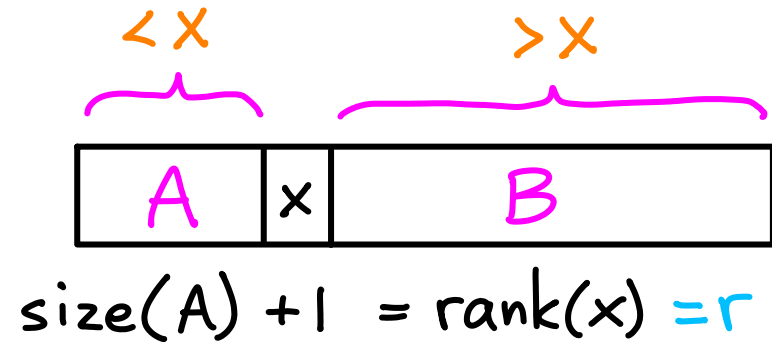
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Worst case :  $T(n) = \Theta(n) + T(n-1) = ?$

# SELECTION - Given $n$ values, find the $k$ -th smallest

## Simple algorithm:

- pick a random input value:  $x$
- use  $x$  as pivot, partition input  $\rightarrow \Theta(n)$



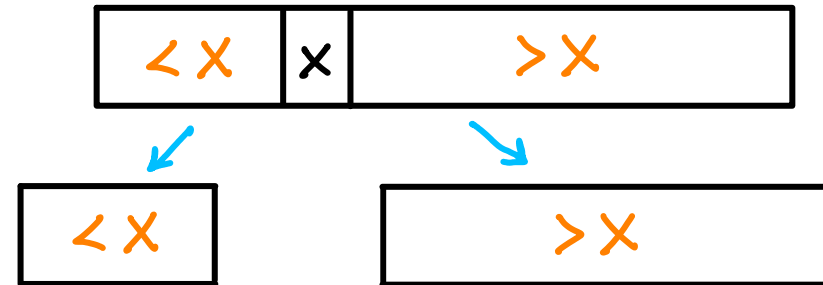
- if  $\text{rank}(x) = k$ , return  $x$
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Worst case :  $T(n) = \Theta(n) + T(n-1) = O(n^2)$

# SELECTION

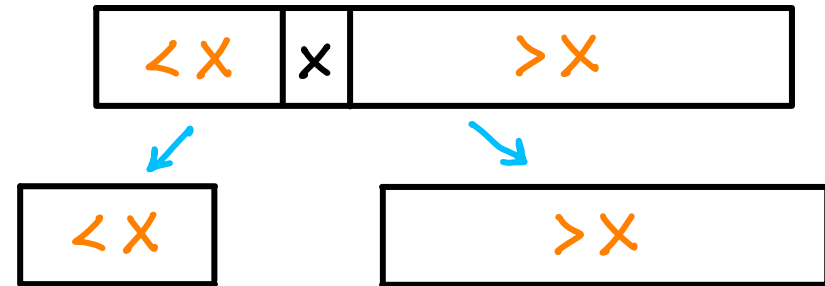
## summary

- pick a random input value:  $x$
- use  $x$  as pivot, partition input  $\rightarrow$
- recurse left or right if necessary



Deterministic **SELECTION** algorithm (1973)  
↳ Blum, Floyd, Pratt, Rivest, Tarjan

- pick a ~~random~~ input value:  $x$
- use  $x$  as pivot, partition input →
- recurse left or right if necessary



# Deterministic SELECTION algorithm (1973)

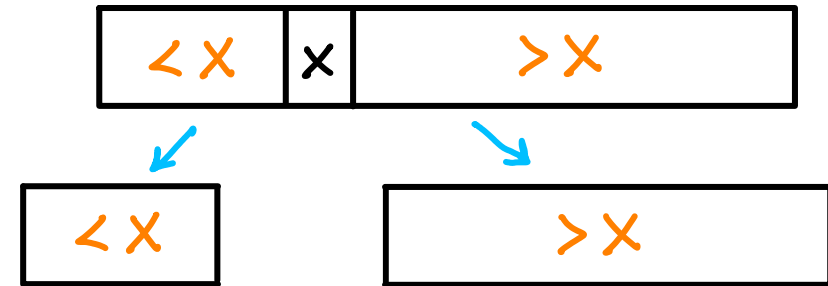
↳ Blum, Floyd, Pratt, Rivest, Tarjan

- do something more clever: use some other  $x$
- get  $O(n)$  time

• pick a ~~random~~ input value:  $x$

• use  $x$  as pivot, partition input →

• recurse left or right if necessary



# Deterministic SELECTION algorithm

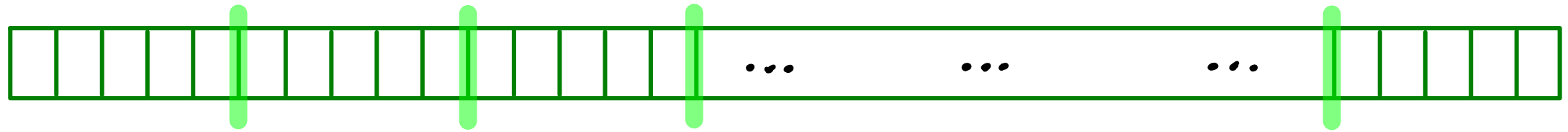


# Deterministic SELECTION algorithm

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For now, assume  $n =$   
multiple of 5

blocks of size 5

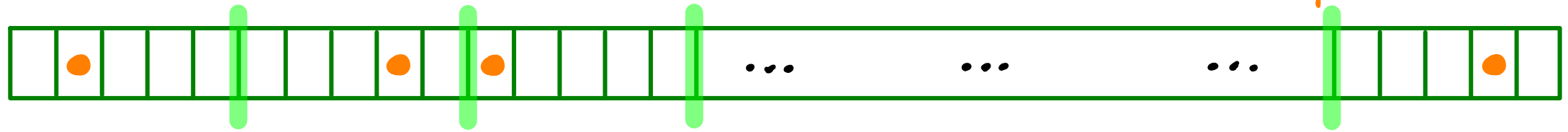


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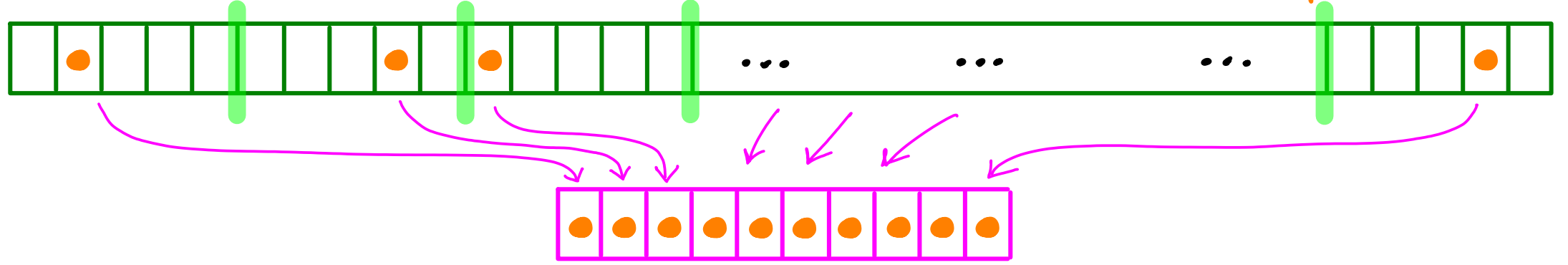
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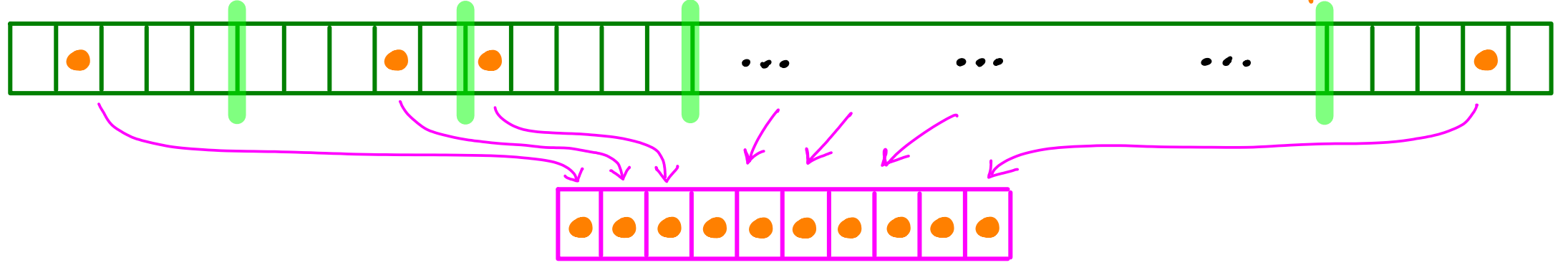


- copy representatives to new list

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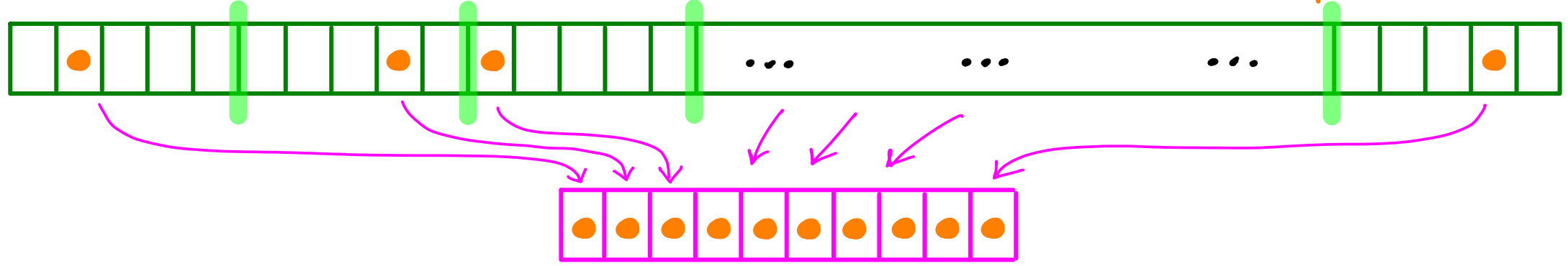


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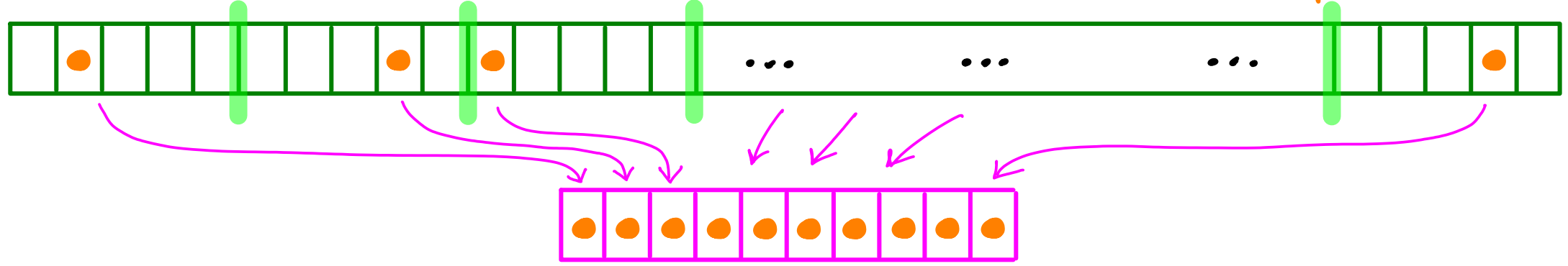


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- How?

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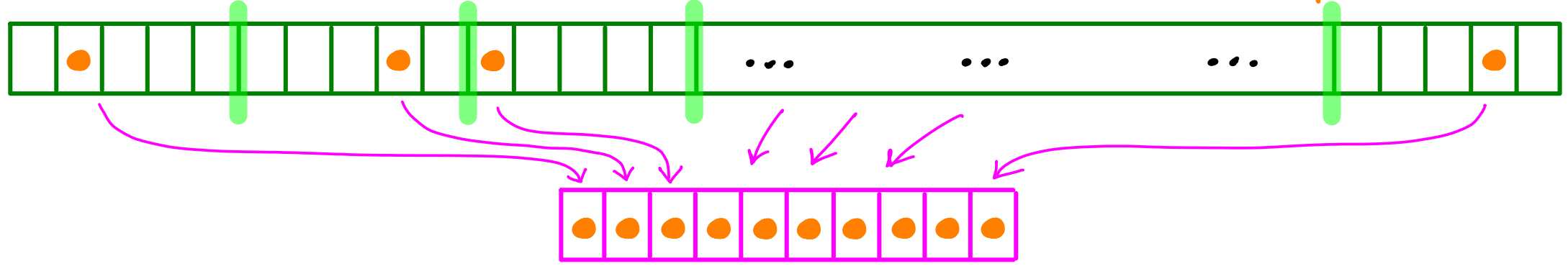
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How? Use the algorithm (recurse)

# Deterministic SELECTION algorithm

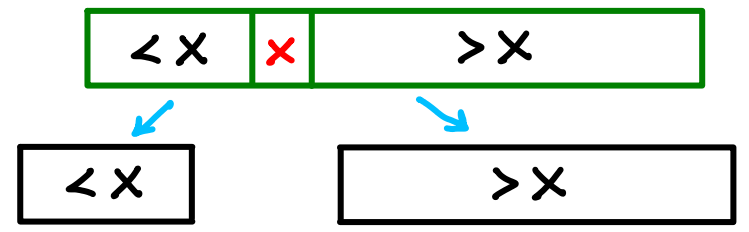
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- copy representatives to new list
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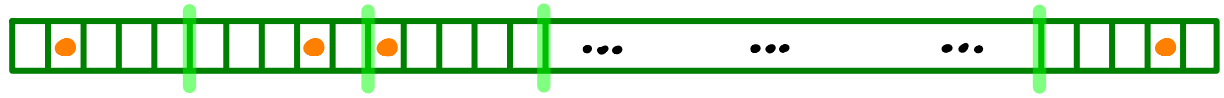


↓  $T(n) = ?$

Time to run Selection on  $n$  elements



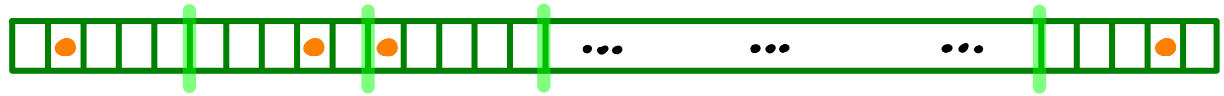
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Time to run Selection on  $n$  elements

- for each block of size 5: find representative = ?

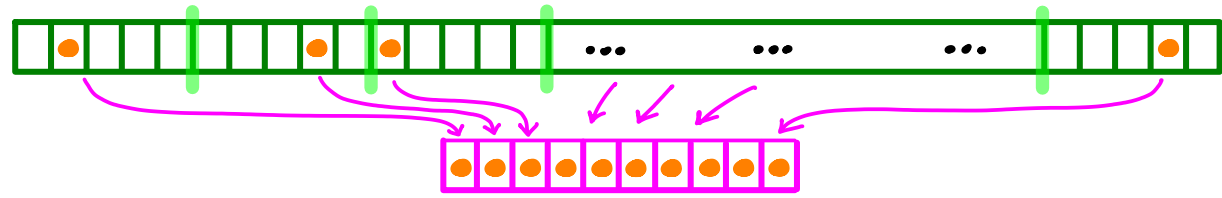
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Time to run Selection on  $n$  elements

- for each block of size 5: find representative =  $\frac{n}{5} \cdot \Theta(1) = \Theta(n)$

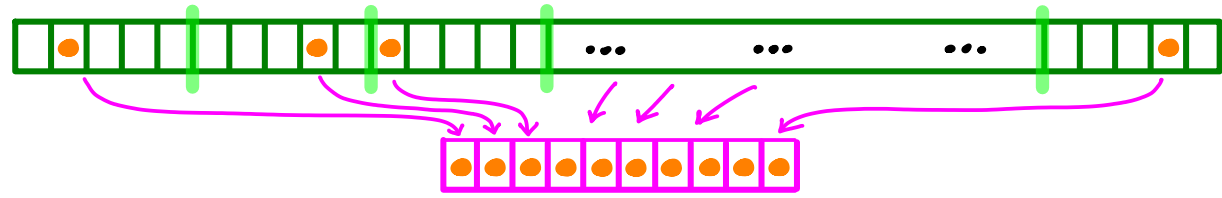
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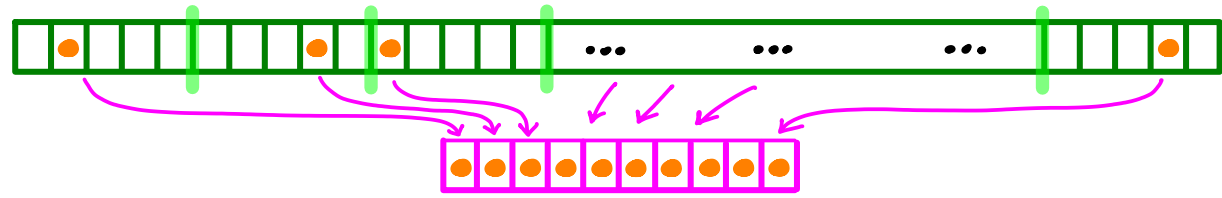
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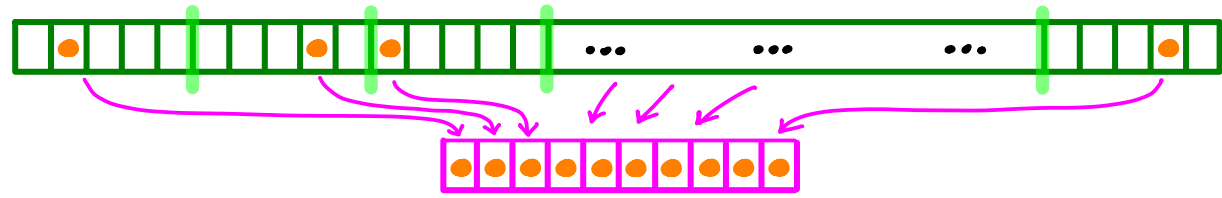
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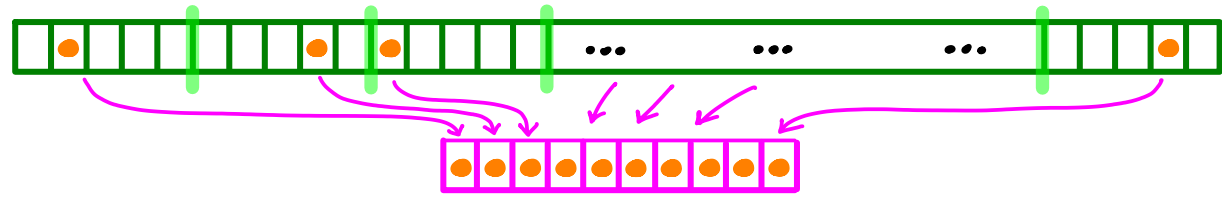
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Time to run Selection on n elements

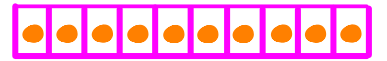
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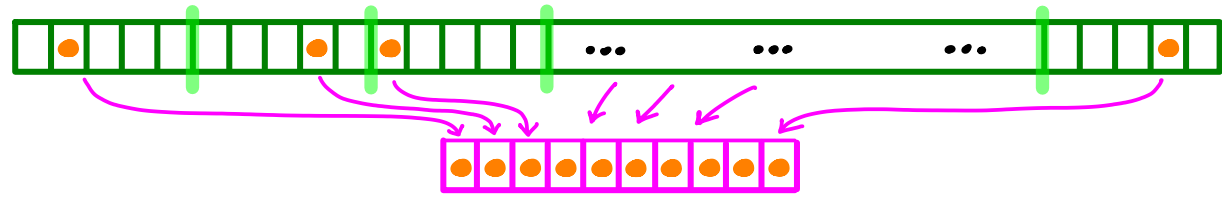


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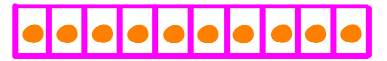


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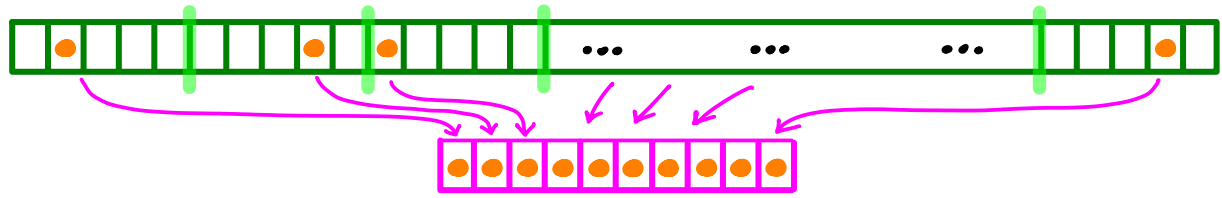
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- use  $x$  as pivot, partition input =  $\Theta(n)$



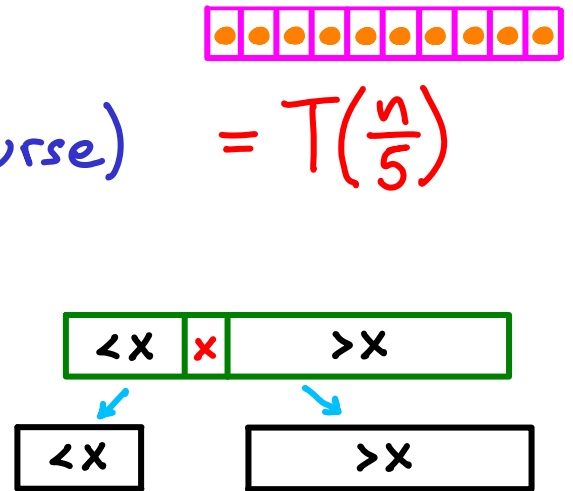


$T(n) = ?$



Time to run Selection on  $n$  elements

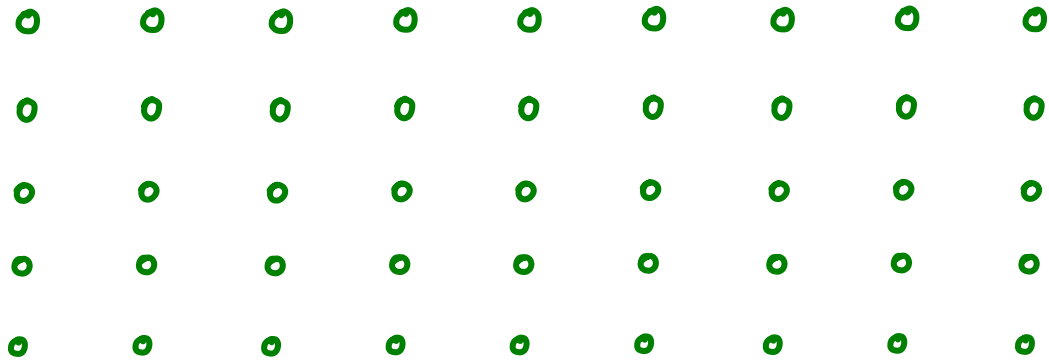
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- find median of representatives  $\rightarrow x$  (recurse) =  $T(\frac{n}{5})$
- use  $x$  as pivot, partition input =  $\Theta(n)$
- recurse left or right if necessary =  $T(?)$



# VISUALIZATION

- not part of algorithm

- blocks of size 5

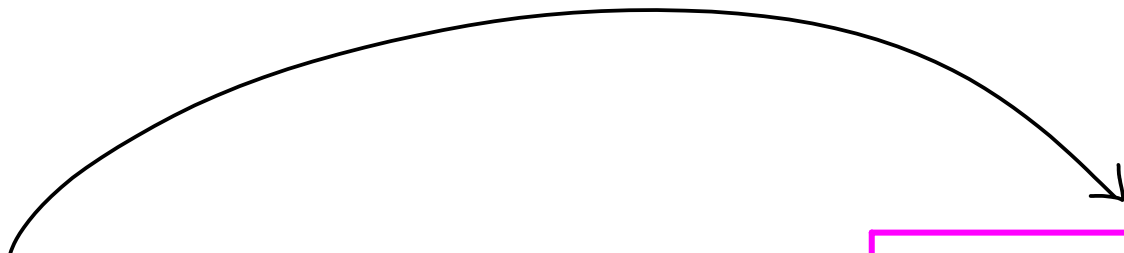
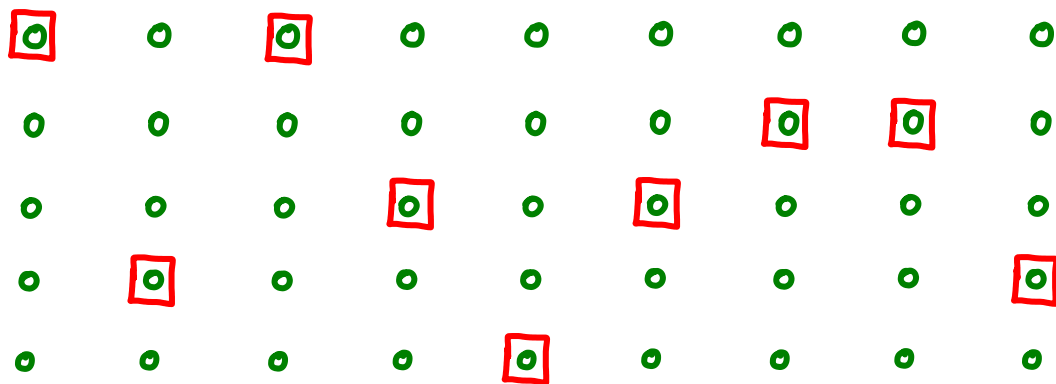


# VISUALIZATION

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- find *representatives*



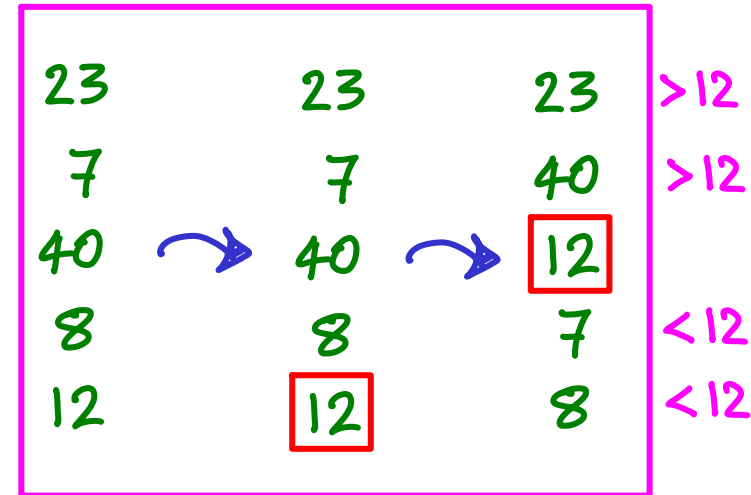
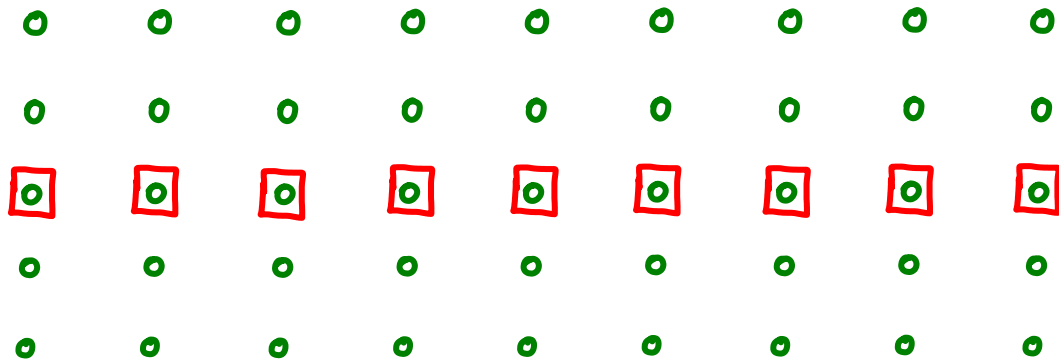
23	23
7	7
40	40
8	8
12	12

# VISUALIZATION

- not part of algorithm

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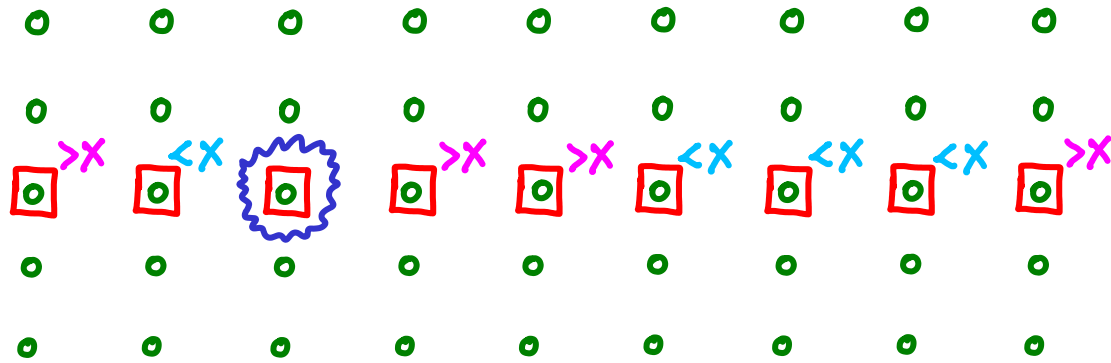
- find **representatives**



# VISUALIZATION

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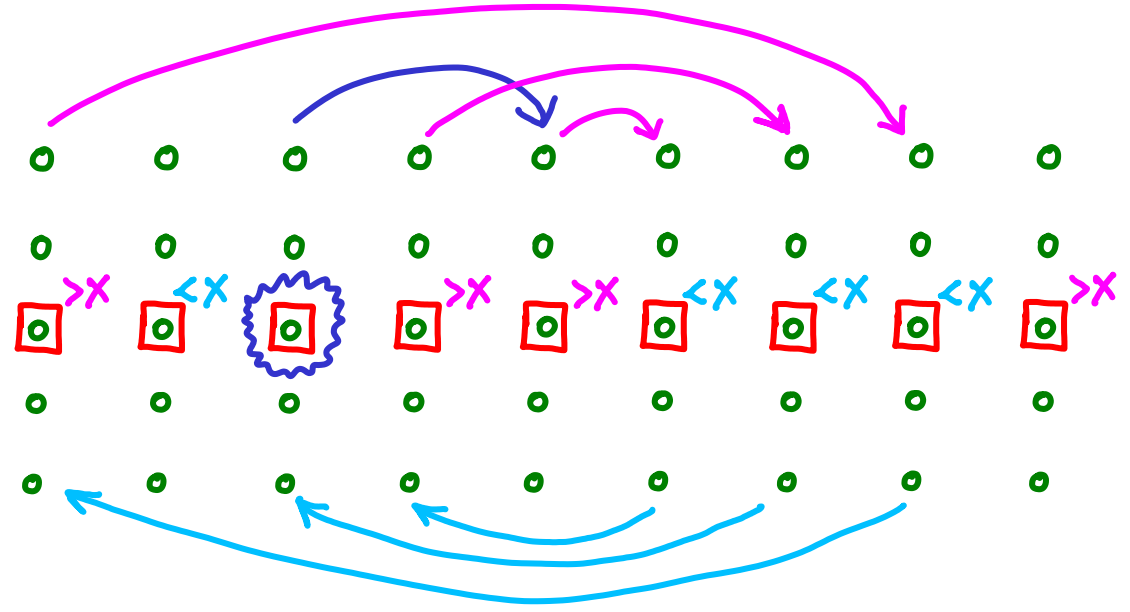
- blocks of size 5
- find representatives
- find median of representatives  $\rightarrow x$



# VISUALIZATION

- not part of algorithm

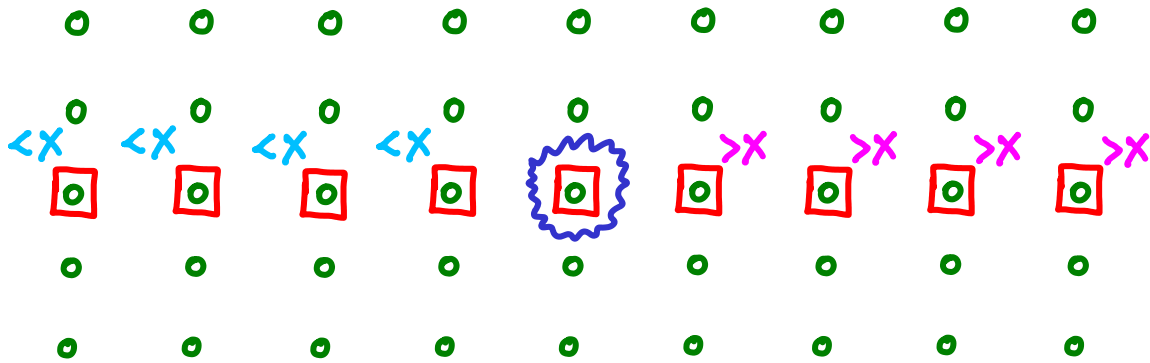
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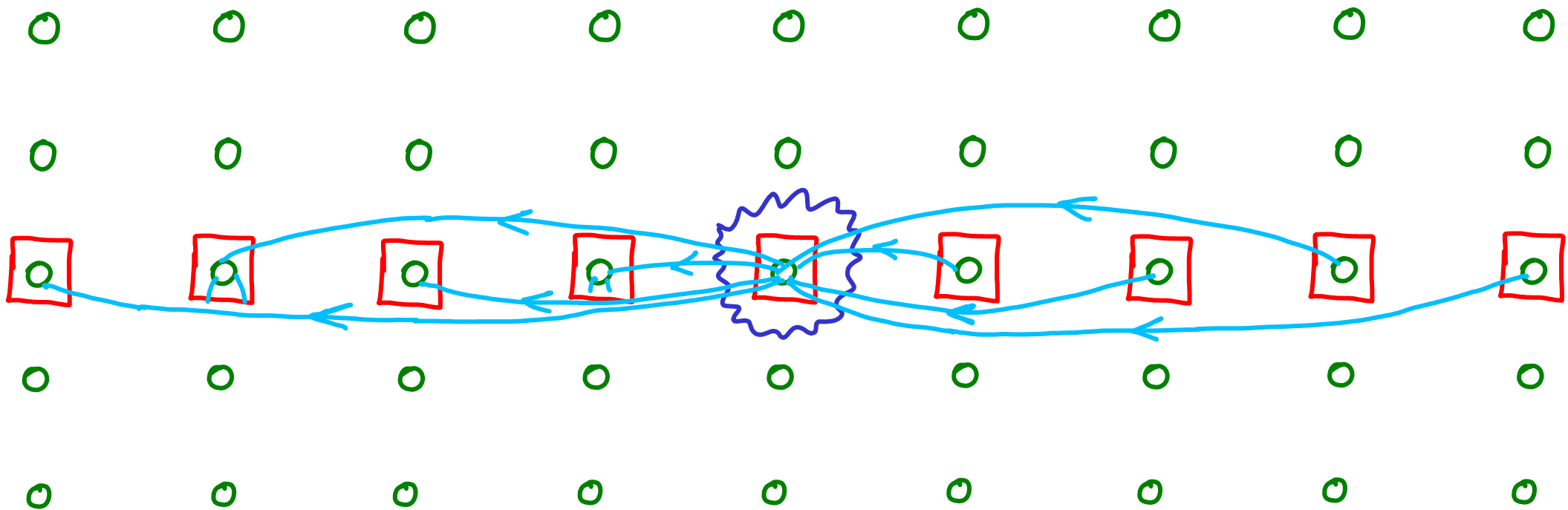
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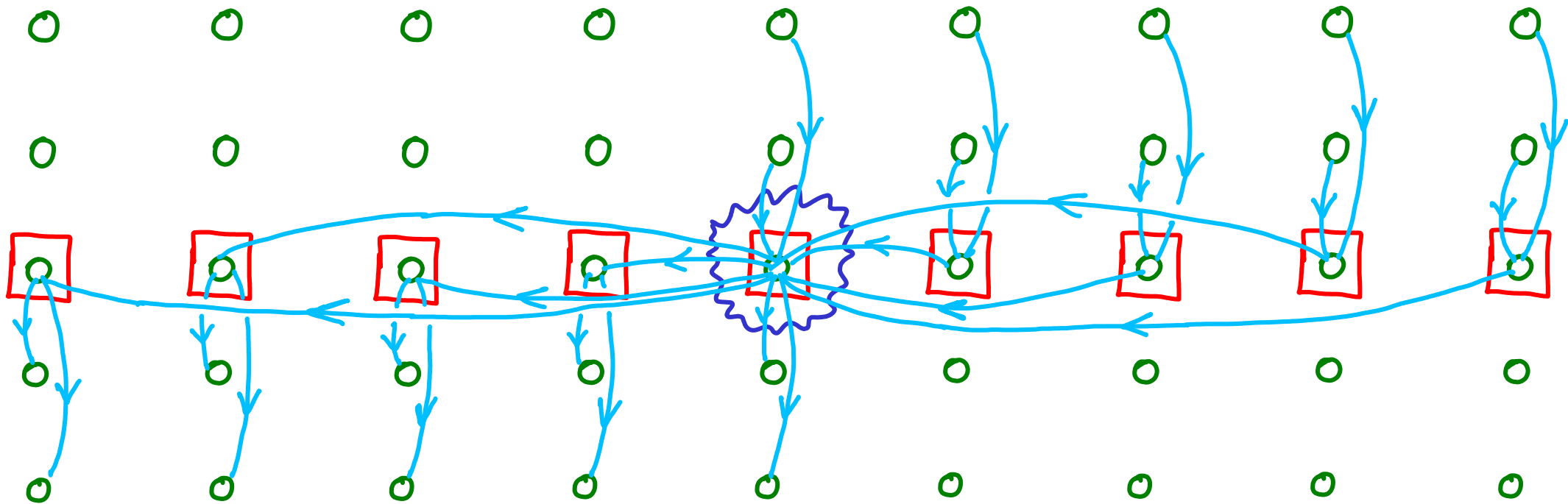




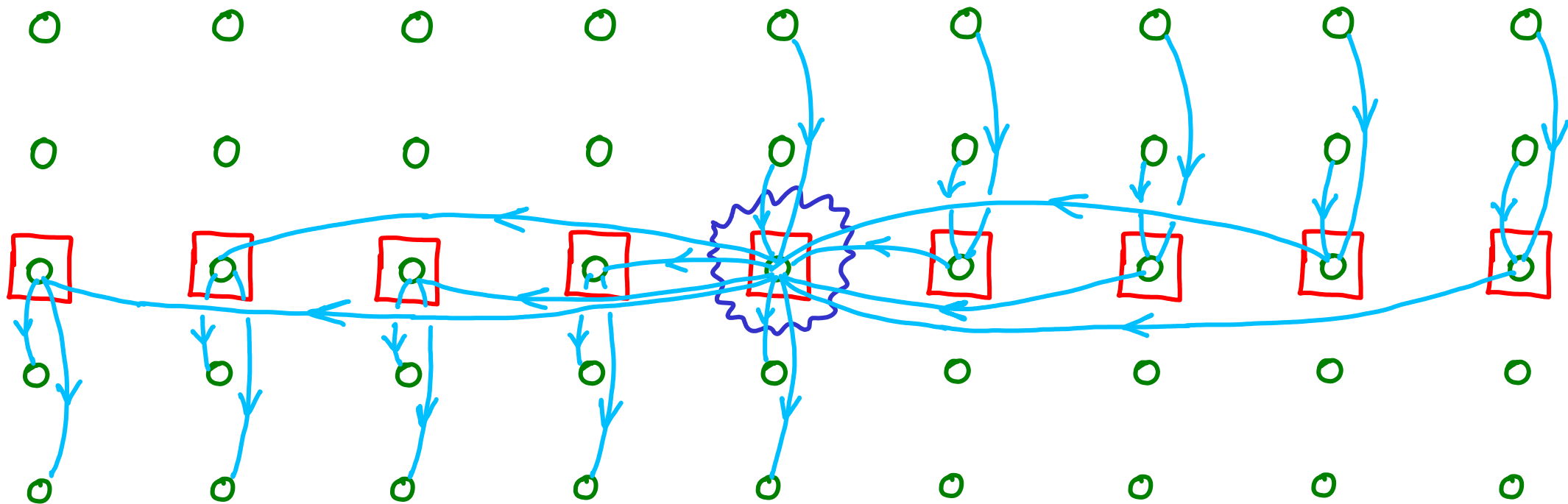


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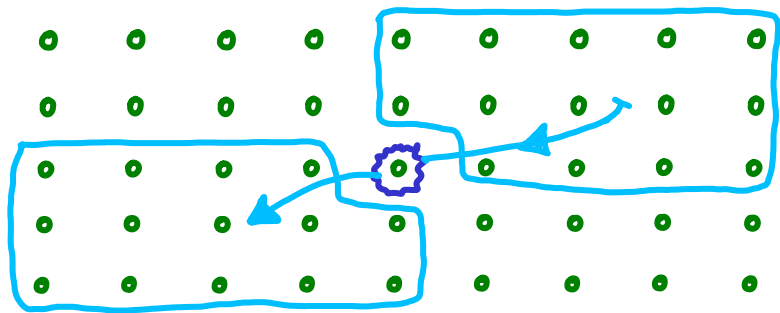
Let  $\begin{matrix} \circ & \xrightarrow{\quad} & \circ \\ A & & B \end{matrix}$  mean  $A > B$

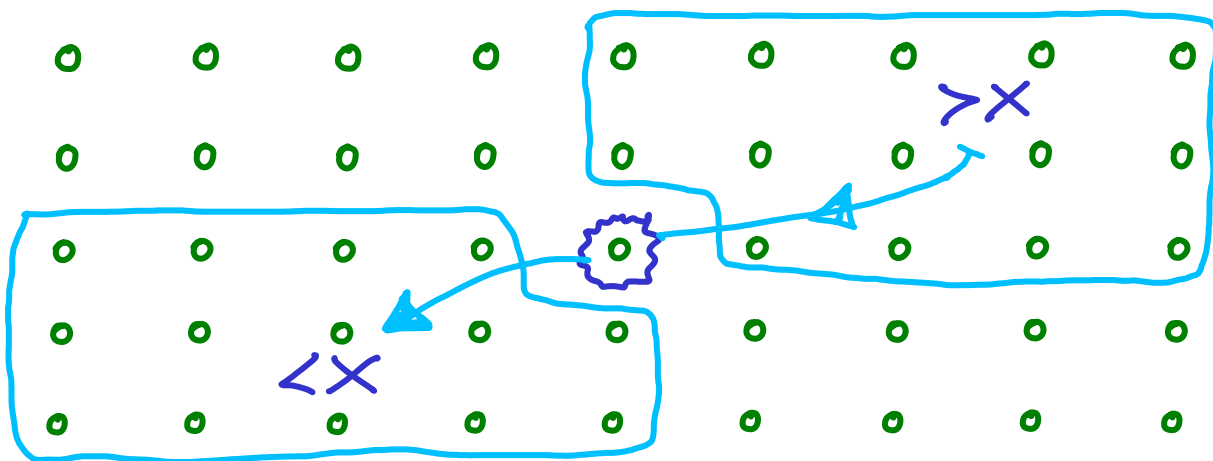


Let  $A \rightarrow B$  mean  $A > B$



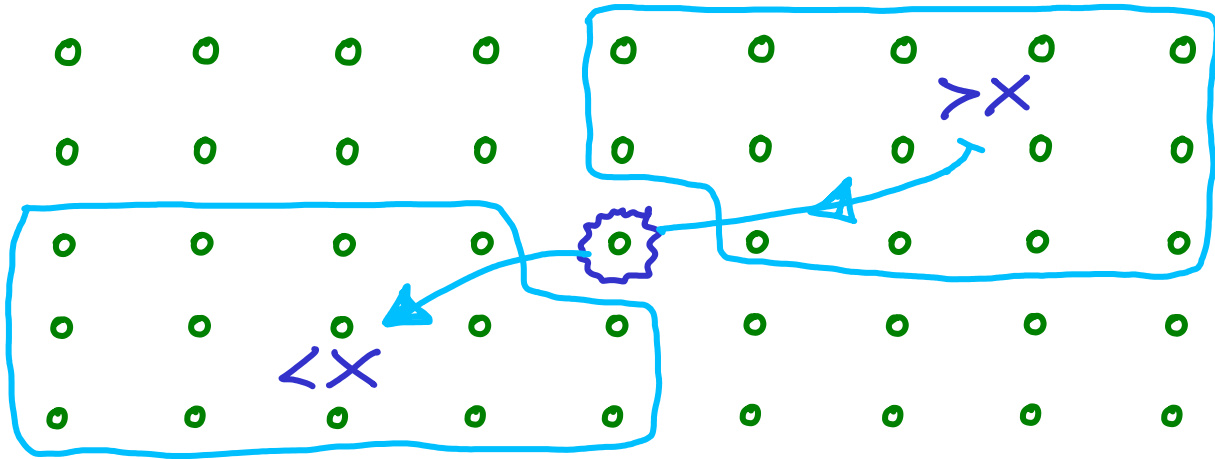
Let  $A \rightarrow B$  mean  $A > B$





←  $\frac{5}{5}$  blocks →

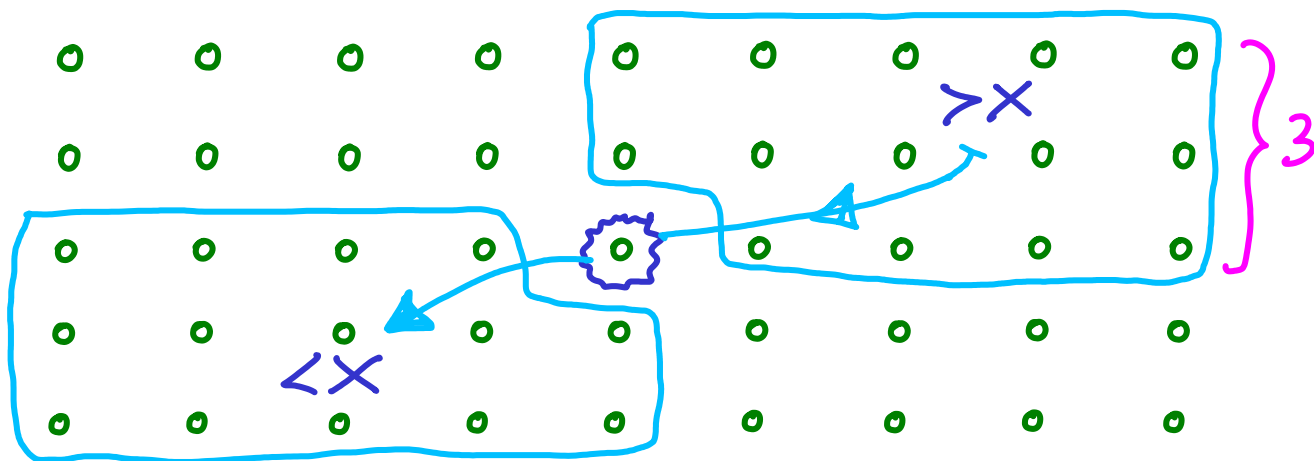
$\Rightarrow \frac{n}{10}$  blocks



←  $\frac{5}{10}$  blocks →

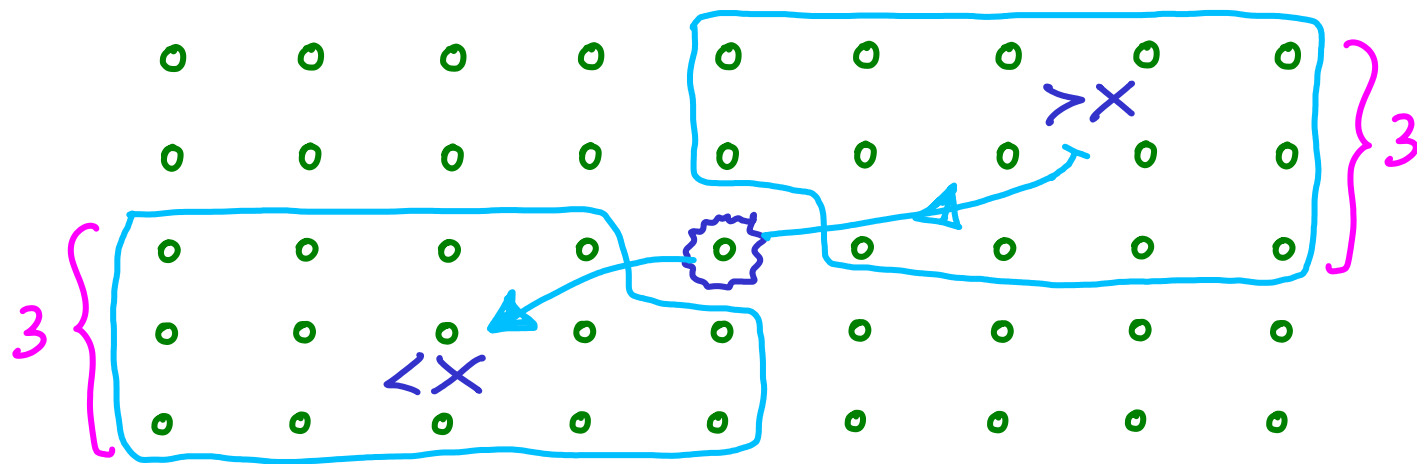
$$\begin{aligned} \# \text{elements} &> x \\ &\geq 3 \cdot \frac{n}{10} \end{aligned}$$

$\geq \frac{n}{10}$  blocks



←  $\frac{5}{5}$  blocks →

$\geq \frac{n}{10}$  blocks



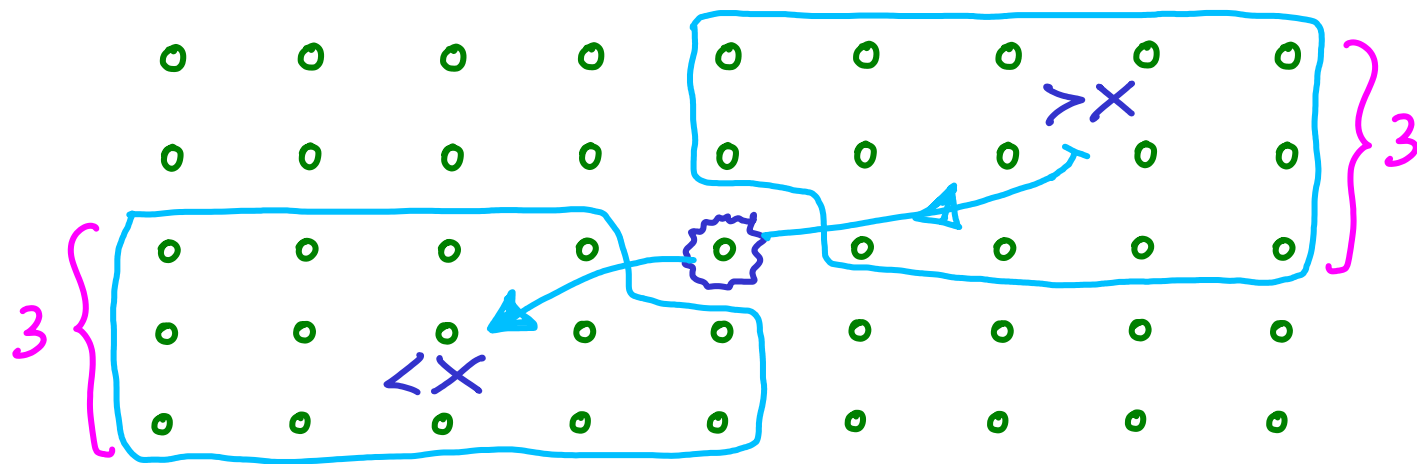
$\geq \frac{n}{10}$  blocks

#elements  $> x$   
 $\geq 3 \cdot \frac{n}{10}$

#elements  $< x$   
 $\geq 3 \cdot \frac{n}{10}$

←  $\frac{5n}{10}$  blocks →

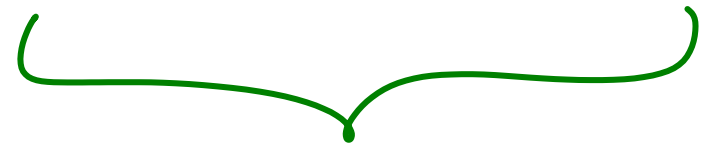
←  $\geq \frac{7n}{10}$  blocks →



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$$\begin{aligned} \# \text{elements} &> x \\ &\geq 3 \cdot \frac{n}{10} \end{aligned}$$

$$\begin{aligned} \# \text{elements} &< x \\ &\geq 3 \cdot \frac{n}{10} \end{aligned}$$



After partitioning,  
we recurse on at most

$$\frac{7n}{10} \text{ elements}$$



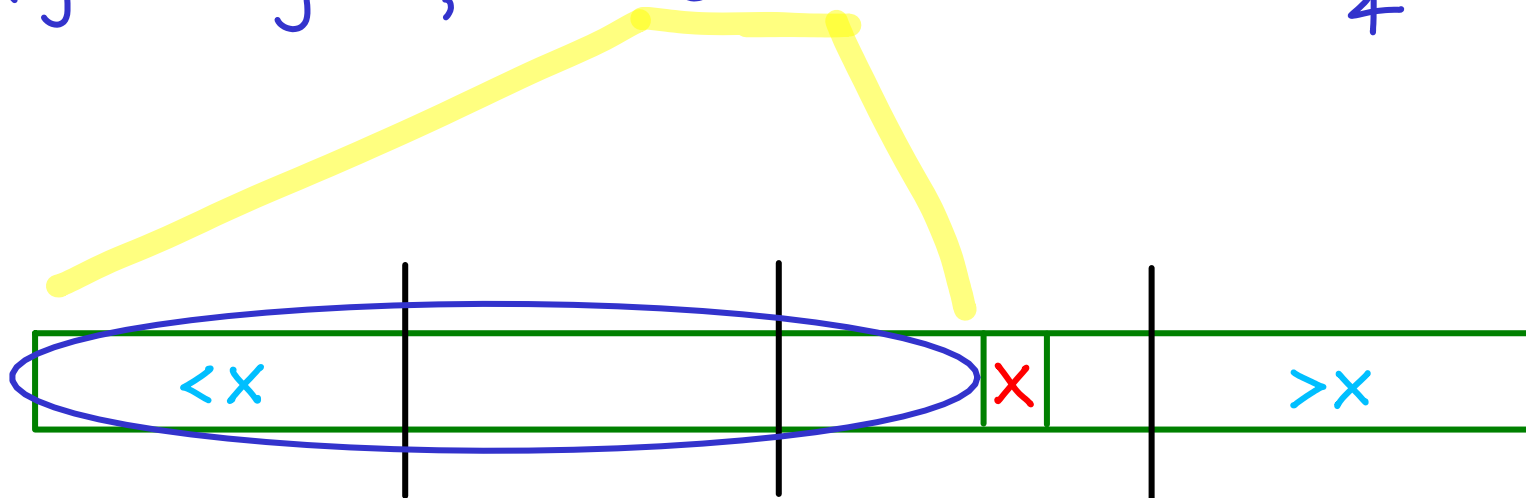
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- If  $n$  is not a multiple of 5,  
we might need to recurse on a few more elements.

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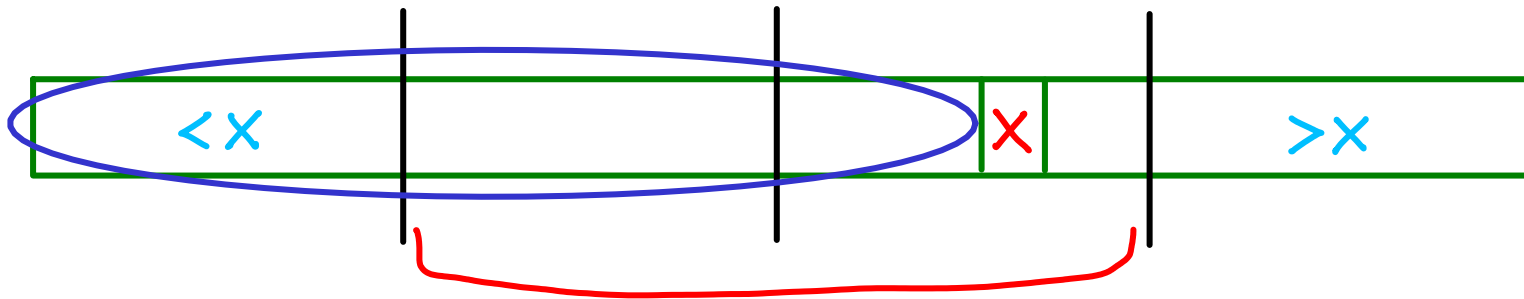
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↗  $x$  has rank between  $\frac{n}{4}$  and  $\frac{3n}{4}$



$$T(n) \leq \Theta(n) + T\left(\frac{n}{5}\right) + T\left(\frac{3n}{4}\right)$$

1) find representatives

2) copy to new list

4) partition using  $x$

3) find  $x$

5) recurse  
left or right

$$T(n) \leq T\left(\frac{n}{5}\right) + T\left(\frac{3n}{4}\right) + dn$$

assume  $T(k) \leq ck$  for all  $k < n$

$$T(n) \leq T\left(\frac{n}{5}\right) + T\left(\frac{3n}{4}\right) + dn$$

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$$T(n) \leq c \cdot \frac{n}{5} + c \cdot \frac{3n}{4} + dn$$



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$$= \frac{19}{20}cn + dn$$

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$$\leq cn \quad \dots \text{if } c = 20d$$

