

# RESOLVING COLLISIONS w/ OPEN ADDRESSING assuming $n \leq m$

1	36
2	43
3	
4	78
5	
6	5
7	103
8	
9	2014
10	
11	

# RESOLVING COLLISIONS w/ OPEN ADDRESSING *assuming $n \leq m$*

The point is to avoid auxilliary linked lists. Use that space for table.  
(and pointers)

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Instead, create a probe sequence as a function of key value.

↳ permutation of slots to try.

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ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

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ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Insert(64): Try  $T[9]$ : full

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A red arrow points to slot 2, and a red 'x' is next to slot 9.

ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Insert(64):  
↳ Try T[9]: full  
↳ Try T[2]: full

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	1	36
x	2	43
	3	
→	4	78
	5	
	6	5
	7	103
	8	
x	9	2014
	10	
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ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Insert(64):

- ↳ Try T[9]: full
- ↳ Try T[2]: full
- ↳ Try T[4]: full

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	1	36
X	2	43
	3	
X	4	78
	5	
	6	5
	7	103
→	8	
X	9	2014
	10	
	11	

ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Insert(64):

- ↳ Try T[9]: full
- ↳ Try T[2]: full
- ↳ Try T[4]: full
- ↳ Try T[8]: OK



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ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Insert(64):

- ↳ Try T[9]: full
- ↳ Try T[2]: full
- ↳ Try T[4]: full
- ↳ Try T[8]: ok

Search(64) follows same sequence.  
Would return "not found" after 4 attempts.

ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

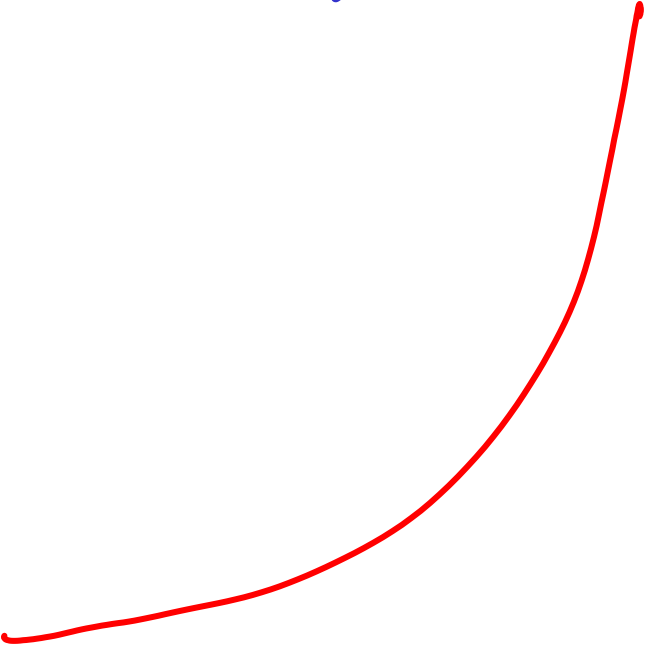
Really this is  $h(k, i)$

1	36
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6	5
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8	64
9	2014
10	
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ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Really this is  $h(k, i)$        $h(64, 1) = 9$

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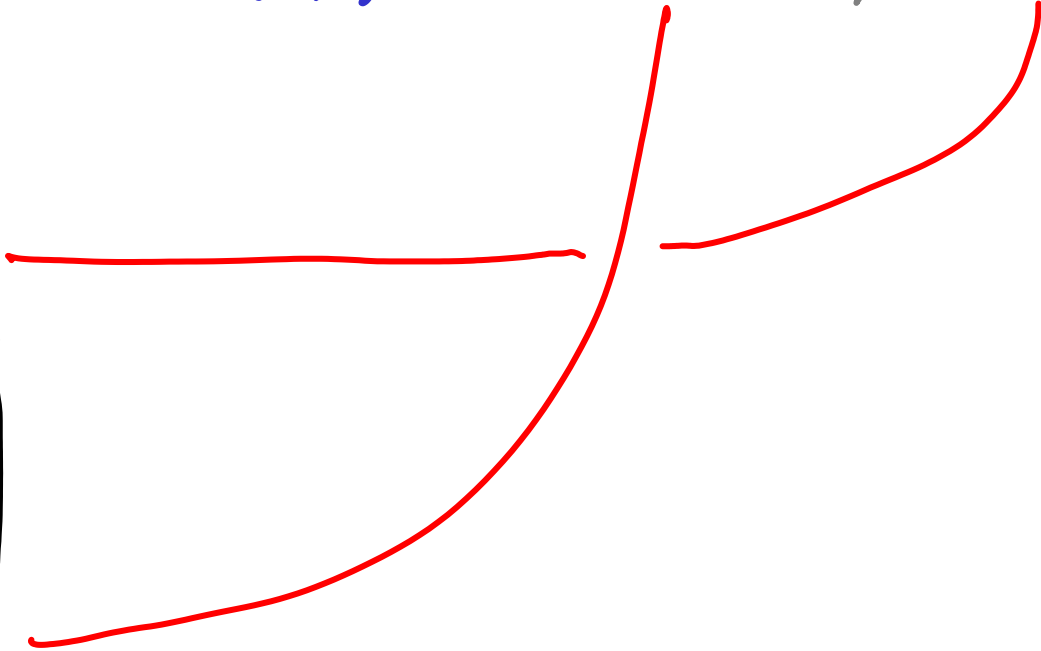


ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Really this is  $h(k, i)$

$$h(64, 1) = 9 \quad / \quad h(64, 2) = 2$$

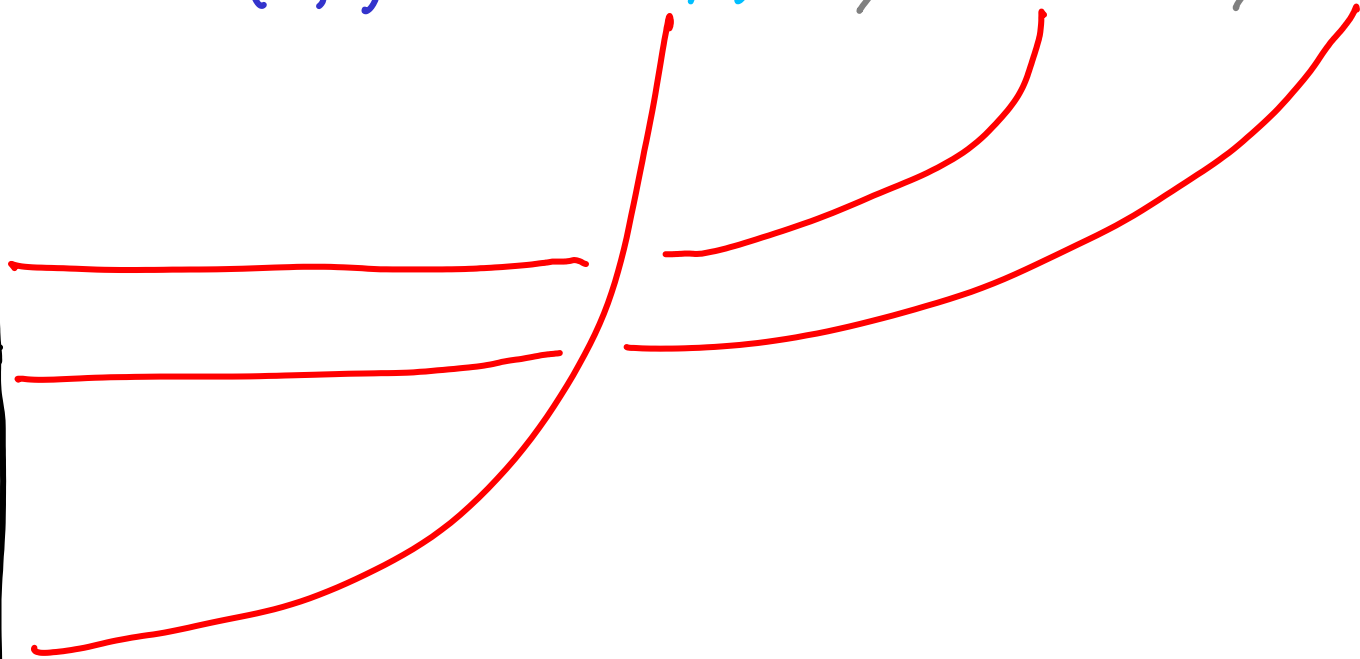
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Really this is  $h(k, i)$       $h(64, 1) = 9$  /  $h(64, 2) = 2$  /  $h(64, 3) = 4$  / etc

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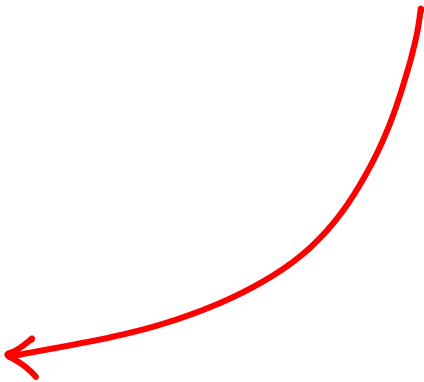
Delete(64) : ?

ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Really this is  $h(k, i)$       $h(64, 1) = 9$  /  $h(64, 2) = 2$  /  $h(64, 3) = 4$  / etc

1	36
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Delete(64) :  $h(64, 1) = 9$  , occupied by 2014



ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Really this is  $h(k, i)$       $h(64, 1) = 9$  /  $h(64, 2) = 2$  /  $h(64, 3) = 4$  / etc

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Delete(64) :  $h(64, 1) = 9$  , occupied by 2014  
 $h(64, 2) = 2$  , occupied by 43



ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Really this is  $h(k, i)$        $h(64, 1) = 9$  /  $h(64, 2) = 2$  /  $h(64, 3) = 4$  / etc

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Delete(64) :  $h(64, 1) = 9$  , occupied by 2014  
 $h(64, 2) = 2$  , occupied by 43  
 $h(64, 3) = 4$  , occupied by 78  
 $h(64, 4) = 8$  , found 64 , DELETE IT.

OK?

ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Really this is  $h(k, i)$        $h(64, 1) = 9$  /  $h(64, 2) = 2$  /  $h(64, 3) = 4$  / etc

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 $h(64, 3) = 4$  , occupied by 78  
 $h(64, 4) = 8$  , found 64 , DELETE IT.

what if  $h(103) \rightarrow 4, 8, 2, 7, 1, 10, 11, 3, 5, 9, 6$  ?

ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Really this is  $h(k, i)$       $h(64, 1) = 9$  /  $h(64, 2) = 2$  /  $h(64, 3) = 4$  / etc

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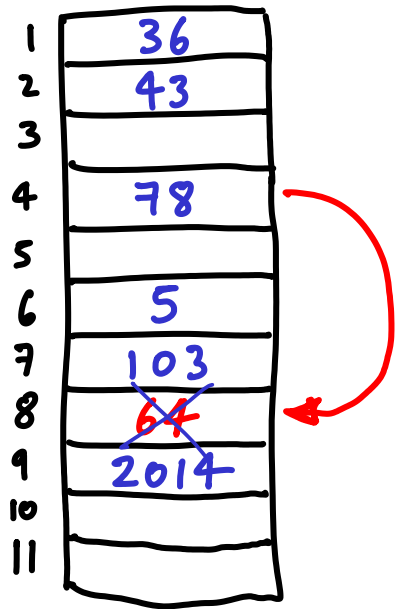
Delete(64) :  $h(64, 1) = 9$  , occupied by 2014  
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 $h(64, 4) = 8$  , found 64 , DELETE IT.

what if  $h(103) \rightarrow 4, 8, 2, 7, 1, 10, 11, 3, 5, 9, 6$  ?

Search(103) :  $h(103, 1) = 4$  , occupied by 78

ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Really this is  $h(k, i)$       $h(64, 1) = 9$  /  $h(64, 2) = 2$  /  $h(64, 3) = 4$  / etc



Delete(64) :  $h(64, 1) = 9$  , occupied by 2014  
 $h(64, 2) = 2$  , occupied by 43  
 $h(64, 3) = 4$  , occupied by 78  
 $h(64, 4) = 8$  , found 64 , DELETE IT.

what if  $h(103) \rightarrow 4, 8, 2, 7, 1, 10, 11, 3, 5, 9, 6$  ?

Search(103) :  $h(103, 1) = 4$  , occupied by 78  
 $h(103, 2) = 8$  , empty : declare 103 not in T.

ex:  $h(64) \rightarrow 9, 2, 4, 8, 1, 3, 11, 7, 10, 5, 6.$

Really this is  $h(k, i)$        $h(64, 1) = 9$  /  $h(64, 2) = 2$  /  $h(64, 3) = 4$  / etc



Delete(64) :  $h(64, 1) = 9$  , occupied by 2014  
 $h(64, 2) = 2$  , occupied by 43  
 $h(64, 3) = 4$  , occupied by 78  
 $h(64, 4) = 8$  , found 64, DELETE IT.

what if  $h(103) \rightarrow 4, 8, 2, 7, 1, 10, 11, 3, 5, 9, 6$  ?

Search(103) :  $h(103, 1) = 4$  , occupied by 78  
 $h(103, 2) = 8$  , empty : declare 103 not in T.

Could use special "deleted" markers, but search time increases

(Consider deleting all but one element, and then searching for it)

Typical probing sequences

## Typical probing sequences

Linear probing :  $h(k,i) = (h(k,0) + i) \bmod m$

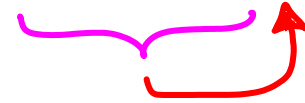
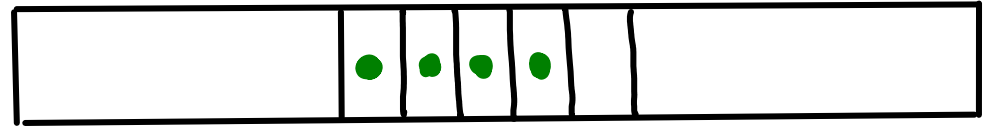


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... tends to generate clusters.



probability of extending a cluster

$$= \frac{|\text{cluster}|}{m}$$



slows down search

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→ Both generate  $m$  probe sequences in total

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Double hashing :  $h(k,i) = (h_1(k) + i \cdot h_2(k)) \bmod m$



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Generates  $O(m^2)$  probe sequences: better

Heuristic : choose  $m = 2^r$  &  $h_2(k) : \text{odd}$ .

# ANALYSIS OF OPEN ADDRESSING

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ASSUMING UNIFORM HASHING : each key is equally likely to have any of the  $m!$  permutations as probe sequence  
(independent of other keys)



simple uniform hashing

For a random  $h$ , every slot is equally likely

# ANALYSIS OF OPEN ADDRESSING

ASSUMING UNIFORM HASHING : each key is equally likely to have any of the  $m!$  permutations as probe sequence (independent of other keys)

≠



even though all we have so far is  $O(m^2)$

simple uniform hashing

For a random  $h$ , every slot is equally likely

## ANALYSIS OF OPEN ADDRESSING

ASSUMING UNIFORM HASHING : each key is equally likely to have any of the  $m!$  permutations as probe sequence  
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Recall  $n < m$ , so  $\alpha < 1$ . Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha} \binom{m}{m-n}$   
(search)

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Recall  $n < m$ , so  $\alpha < 1$ . Claim:  $E[\# \text{ probes}] \leq \frac{1}{1-\alpha} \left( \frac{m}{m-n} \right)$   
(search)

If true, then for  $n \ll m$   $E[\# \text{ probes}] = O(1)$

↳  $n = \frac{1}{2}m \rightarrow 2$  probes

↳ 90% full table  $\rightarrow 10$  probes

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↳ 90% full table  $\rightarrow 10$  probes

Works well if you can afford a table  $\sim$  data  $\times 2$

but keep in mind: we're using a very strong assumption

Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha}$

Look at unsuccessful search

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Remember, probe sequence  
is a permutation.

Never check one slot twice.

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Look at unsuccessful search

$$P[\text{1st probe collides}] = \frac{\alpha}{m}$$

Remember, probe sequence is a permutation.

Never check one slot twice.

Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha}$

Look at unsuccessful search

$$P[1\text{st probe collides}] = \frac{s}{m}$$

→ need 2nd probe

Remember, probe sequence is a permutation.

Never check one slot twice.

Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha}$

Look at unsuccessful search

$P[1\text{st probe collides}] = \frac{s}{m} \rightarrow \text{need 2nd probe}$

$P[2\text{nd probe collides}] = \frac{s-1}{m-1} \rightarrow \text{need 3rd probe}$

Remember, probe sequence is a permutation.

Never check one slot twice.

Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha}$

Look at unsuccessful search

$P[1\text{st probe collides}] = \frac{n}{m} \rightarrow \text{need 2nd probe}$

$P[2\text{nd probe collides}] = \frac{n-1}{m-1} \rightarrow \text{need 3rd probe}$

$$\vdots$$
$$\frac{n-i}{m-i}$$

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Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha}$

Look at unsuccessful search

$P[1\text{st probe collides}] = \frac{n}{m} \rightarrow \text{need 2nd probe}$

$P[2\text{nd probe collides}] = \frac{n-1}{m-1} \rightarrow \text{need 3rd probe}$

$$\frac{n-i}{m-i} < \frac{n}{m} = \alpha$$

Remember, probe sequence is a permutation.

Never check one slot twice.

Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha}$

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\*  $P[1\text{st probe collides}] = \frac{n}{m} \rightarrow \text{need 2nd probe}$

$P[2\text{nd probe collides}] = \frac{n-1}{m-1} \rightarrow \text{need 3rd probe}$

$$\frac{\dots}{m-i} < \frac{n}{m} = \alpha$$

Remember, probe sequence is a permutation.

Never check one slot twice.

$$E[\# \text{probes}] = 1 + \frac{n}{m} \left( \text{need at least a 2nd probe} \right)$$

↑

Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha}$

Look at unsuccessful search

$$P[1\text{st probe collides}] = \frac{n}{m} \rightarrow \text{need 2nd probe}$$

$$* P[2\text{nd probe collides}] = \frac{n-1}{m-1} \rightarrow \text{need 3rd probe}$$

$$\frac{n-i}{m-i} < \frac{n}{m} = \alpha$$

Remember, probe sequence is a permutation.

Never check one slot twice.

$$E[\# \text{probes}] = 1 + \frac{n}{m} \left( 1 + \frac{n-1}{m-1} \left( \text{need a 3rd probe} \right) \right)$$



Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha}$

Look at unsuccessful search

$P[1\text{st probe collides}] = \frac{n}{m} \rightarrow \text{need 2nd probe}$

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$$\frac{n-i}{m-i} < \frac{n}{m} = \alpha$$

Remember, probe sequence is a permutation.

Never check one slot twice.

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Claim:  $E[\# \text{probes}] \leq \frac{1}{1-\alpha}$

Look at unsuccessful search

$P[1\text{st probe collides}] = \frac{n}{m} \rightarrow \text{need 2nd probe}$

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see CLRS for alternate analysis  
incl. successful search

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Family of hash functions, pick one randomly. Beats adversaries.

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cuckoo hashing !

Cuckoos Use Mafia Tactics, And They Work

April 18, 2014 | by Stephen Luntz



